



*Sponsors of  
North Bay Regional Center  
and other programs  
for persons with developmental disabilities  
610 Airpark Road  
Napa, CA 94558  
707-256-1224  
Fax: 707-256-1230*

*Promoting Opportunities  
Supporting Choices*

## **MEETING NOTICE**

The next meeting of the Board of Directors is a Regular Business Board Meeting scheduled as follows:

**DATE:** February 1, 2023

**TIME:** 6:00 pm

**PLACE:** Via Zoom

**Please click the link below to join the webinar:**

<https://us02web.zoom.us/j/89709687840?pwd=QjllcTc0dzBBNXA2OEplanVjOFItUT09>

**Join by Phone:**

Phone Number: 1-669-900-6833

Webinar ID: 897 0968 7840

Passcode: 912329

Se Habla Español

**Agenda Enclosed**

**REMINDER:** Notices are posted at [www.nbrc.net](http://www.nbrc.net). All meetings are made accessible to persons with disabilities and all members of the public are welcome to attend. Please contact Janelle Santana at (707) 256-1224 for further information or to request any disability-related modifications or accommodations.

**North Bay Developmental Disabilities Services, Inc.**  
**Board of Directors' Board Meeting**  
**February 1, 2023, 6:00 p.m.**

**Join by Zoom Webinar:**

<https://us02web.zoom.us/j/89709687840?pwd=QjllcTc0dzBBNXA2OEplLWVjOFItUT09>

**Join by Phone:**

**Phone Number:** 1-669-900-6833 **Webinar ID:** 897 0968 7840 **Passcode:** 912329

- I. CALL TO ORDER – Rosemarie Pérez, President
- II. ROLL CALL AND INTRODUCTIONS – Sara Speck, Secretary (3 min)
- III. CONSIDERATION OF MINUTES – Regular Business Meeting Minutes from January 4, 2023 be approved as submitted. (2 min) (pgs. 1-3) ACTION
- IV. GENERAL PUBLIC COMMENT – Please use the chat in the Zoom meeting, or if attending by phone, please text your name to (707) 320-3106 to sign up (2 minutes per person unless otherwise allowed by Board Chairperson). (10 min)
- V. S.T.A.R.T. MODEL CONTRACT APPROVAL – Courtney Singleton, Director of Community Services ACTION  
(pgs. 6-7) (5 mins)
- VI. FOLLOW-UP ON MONITORING DATA – Courtney Singleton, Director of Community Services INFO  
(attachment) (20 mins)
- VII. TREASURER'S REPORT –
  - A. Treasurer's Report be approved as submitted - Andrea Bednarova, Treasurer ACTION  
(5 min) (pgs. 8-9)
- VIII. COMMITTEE REPORTS –
  - A. Executive Committee Update – Rosemarie Pérez, President (5 min) INFO
    - i. Strategic Planning Update
  - B. Cultural/Linguistic Competency Committee Update – Rosemarie Pérez, President (5 mins) INFO
  - C. Vendor Advisory Committee Update – Breeanne Kolster, VAC Co-Chair (5 mins) (pgs. 10-14) INFO
  - D. Public Policy Advisory Committee Update – Breeanne Kolster, VAC Representative (5 mins) INFO
  - E. Client Advisory Committee Update – Sara Speck, Secretary & CAC Co-Chair (5 mins) INFO
  - F. Prevention Committee Update – Joanne Giardello, Board Member (5 mins) INFO
  - G. Nominating Committee Update – Joanne Giardello, Board Member (10 mins)
    - i. Vendor Advisory Committee Voting Membership Elections (attachment) INFO
      - a. Carin Hewitt
      - b. Adria Carson
      - c. Samantha Wilhite
    - ii. Vice President Nominations (pg. 15) INFO
- IX. EXECUTIVE DIRECTOR'S REPORT – Gabriel Rogin, Executive Director (15 mins) (pgs. 16-54)
- X. GOOD OF THE ORDER – Any other Board business may be brought up at this time.
- XI. GENERAL PUBLIC COMMENT – Please use the chat in the Zoom meeting, or if attending by phone, please text your name to (707) 320-3106 to sign up (2 minutes per person unless otherwise allowed by Board Chairperson). (10 min)
- XII. CLOSED SESSION – (15 mins)

A. The appointment, employment, evaluation of performance, or dismissal of a regional center employee

XIII. RETURN FROM CLOSED SESSION -

A. Report on any action taken during the closed session (1 min)

ACTION

XIV. ADJOURNMENT – Rosemarie Pérez, President

CLOSED SESSION – a) The governing board of a regional center may hold a closed meeting to discuss or consider one or more of the following: (1) real estate negotiations, (2) the appointment, employment, evaluation of performance, or dismissal of a regional center employee, (3) employee salaries and benefits, (4) labor contract negotiations, (5) pending litigation. b) Any matter specifically dealing with a particular regional center client must be conducted in a closed session. -- W&I Code 4663.

**NEXT MEETING ANNOUNCEMENT – The next Board Meeting will be a regular business Board Meeting on March 1, 2023 at 6:00 pm.**

**North Bay Developmental Disabilities Services, Inc.**  
**Board of Directors Regular Business Meeting**  
**January 4, 2023, 6:00 p.m.**  
**Via Zoom Webinar**

**NBRC BOARD MEMBERS PRESENT:**

Rosemarie Pérez, President, Sonoma County	Andrea Bednarova, Treasurer
Breeanne Kolster, VAC Representative	Sara Speck, Secretary, Solano County
Candace White, Solano County	Brien Farrell, Solano County
Jeremy Johnson, Vice President, Solano County	Ronald Gers, Sonoma County
Alexis Jarreau, Solano County	

**NBRC BOARD MEMBERS ABSENT:**

Jose Ayala, Napa County	Joanne Giardello, Solano County
-------------------------	---------------------------------

**NBRC STAFF PRESENT:**

Gabriel Rogin, Executive Director	Courtney Singleton, Director of Community Services
Beth DeWitt, Director of Client Services	Deanna Mobley, Director of Intake and Clinical Services
Isabel Calder, Chief Financial Officer	Jennifer Crick, Director of Administrative Services
	Janelle Santana, Executive Assistant

**GUESTS:** (\*Based on participants' names in the Zoom Webinar meeting)

Trinidad Lopez, ICS Interpreting Services	Corinne Sixkiller
Barrie	Samantha Wilhite
Cindy Cahill	Ami Sullivan, Kinetic Flow
Oscar Perez, DDS	Ed Yan, DDS
Ellen Nzima, DDS	Christian Nava
Holly Armijo	

**MINUTES**

**CALL TO ORDER** – *Rosemarie Pérez, President*, called the regular business meeting to order at approximately 6:00 pm.

**ROLL CALL AND INTRODUCTIONS** – *Sara Speck, Secretary*, conducted roll call and a quorum was present.

**CONSIDERATION OF MINUTES** –

- i. Regular Business Meeting Minutes from December 7, 2022 be approved as submitted.

**M/S/C (Speck/Farrell) Moved to approve the minutes for December 7, 2022.**

**APPROVED  
UNANIMOUS**

**GENERAL PUBLIC COMMENT** – None

**PRESENTATION OF NBRC AUDIT FINDINGS FOR FISCAL YEARS 2020-2021 AND 2021-2022** –

*Oscar Perez, Ellen Nzima, and Ed Yan with the Department of Developmental Services (DDS)* presented an overview of North Bay Regional Center's audit findings for fiscal years 2020-2021 and 2021-2022. The presentation can be found on NBRC's website as an attachment to the January 2023 board packet.

- The Board discussed the findings of the audit and solutions for recovering the funds of an overpayment to a vendor.

**M/S/C (Johnson/Speck) Moved to approve NBRC's audit findings for Fiscal Years 2020-2021 and 2021-2022.**

**APPROVED  
Kolster ABSTAINED**

**STRATEGIC PLANNING UPDATE –**

*Ami Sullivan, Kinetic Flow*, shared an update of North Bay Regional Center's Strategic Planning Process. An overview of the presentation can be found on pages 16-26 of the January 2023 board meeting packet.

**TREASURER'S REPORT –**

**A. Treasurer's Report be approved as submitted.**

*Andrea Bednarova, Treasurer*, reviewed the Treasurer's Report. A summary of the Board Opportunity Fund and the CFO board report can be found in the January 2023 board packet.

**M/S/C (Bednarova/Jarreau) Motioned to approve the Treasurer's Report as submitted. APPROVED UNANIMOUS**

**B. Board Opportunity Fund Request**

*Beth DeWitt, Director of Client Services*, requested approval of a board opportunity fund request. A summary of the request can be found on page 29 of the January 2023 board meeting packet.

**M/S/C (Bednarova/Speck) Motioned to approve \$3,334.00 from the board opportunity fund as submitted. APPROVED UNANIMOUS**

**COMMITTEE REPORTS –**

**A. Executive Committee Update – None**

**B. Cultural/Linguistic Competency Committee (CLCC) Update –**

*Rosemarie Pérez, President*, shared the following.

- The committee reviewed the draft Education and Prevention Board Policy.
- CLCC looked at Service Equity Policies that were written by other regional centers and decided to redraft the policy.
- Lanterman Act Policy – The committee made a list of items that should be included in this policy.
- The next meeting is on January 26<sup>th</sup> from 12:00pm to 1:00pm.

**C. Vendor Advisory Committee (VAC) Update –**

*Breeanne Kolster, VAC Co-Chair*, noted the following regarding the recent Vendor Advisory Committee meeting.

- It was a short business meeting.
- We received updates regarding ARCA and VAC subcommittees.

**D. Public Policy Advisory Committee Update – None.**

- The next meeting is scheduled for January 24<sup>th</sup> at 10am.

**E. Client Advisory Committee (CAC) Update –**

*Sara Speck, Board Secretary & CAC Co-Chair*, noted the following.

- The meeting was held on Friday, December 16<sup>th</sup>.
- We talked about the new website that is coming for NBRC.
- We listened to *Ami Sullivan, Kinetic Flow*, share an update on NBRC's Strategic Planning process.
- *Ellen Sweigert, NBRC Consumer Advocate*, shared a presentation on self-advocacy.

**F. Prevention Committee Update –**

*Brien Farrell, Board Member & Prevention Committee Member*, noted the group is making progress in completing research.

**G. Nominating Committee Update-**

**i. Board Term Reelections**

- a. *Joanne Giardello* was not present during the meeting. All board members took a poll and

voted.

**8 board members voted to approve Joanne Giardello's second term as a Board Member from February 2023 to January 2026. UNANIMOUS**

b. *Brien Farrell* was excused from the meeting. All board members took a poll and voted.

**7 board members voted to approve Brien Farrell's second term as a Board Member from March 2023 to February 2026. UNANIMOUS**

#### **EXECUTIVE DIRECTOR'S REPORT –**

*Gabriel Rogin, Executive Director*, reviewed the following with the Board of Directors.

- NBRC is monitoring the storm, including potential evacuations and power outages as they occur.
- We are gearing up for 2023. NBRC is hiring to address areas where additional support in key departments is needed.
- We are paying attention to the new directives and performance expectations coming from the State.

#### **GOOD OF THE ORDER –**

*Rosemarie Pérez, Board President*, brought up the idea of having the next Board Meeting in-person at the NBRC Napa office. The meeting would be exclusive for board members in-person and all other attendees would attend online. Rosemarie asked for feedback from the board.

- The Board requested the attendees in-person wear a mask during the meeting and take an at-home COVID test prior to attending.

*Alexis Jarreau, Board Member*, mentioned that a message pops up when logging into the Zoom Board Meeting saying the connection is not secure.

- *Gabriel Rogin, Executive Director*, will let the IT Team know about this and follow-up with the Board.

#### **GENERAL PUBLIC COMMENT – None**

#### **CLOSED SESSION –**

The board moved into closed session at 7:35pm to discuss the appointment, employment, evaluation of performance, or dismissal of a regional center employee.

#### **RETURN FROM CLOSED SESSION-**

##### **A. Report on any action taken during closed session.**

The board returned from the closed session at 7:53pm and they voted to adjust the Executive Director's compensation.

**ADJOURNMENT –** *Rosemarie Pérez, President*, adjourned the regular business board meeting at 7:53pm.



# North Bay Regional Center

## Board Opportunity Fund Request

Approved by Board of Directors: 1/4/2023

<b>M/S/C (Bednarova/Speck) Motioned to approve \$3,334.00 from the board opportunity fund request as submitted.</b>
Individual Name: JB
Type of Request: Rent Assistance

### Summary

Include diagnosis, detailed circumstances and why the funds are needed. Please also include an itemized list of costs (approximate).

JB is a 38-year-old, unconserved man who qualifies for Regional center services due to a diagnosis of Mild Intellectual Disability. JB is verbal and ambulatory, and he is independent with caring for his own person needs such as bathing, dressing, grooming, and housekeeping. He needs minimal assistance with budgeting/money management, transportation but JB does need assistance with meal preparation, including planning, shopping, cooking, and routine household tasks such as cleaning his apartment and locating and scheduling appropriate medical and dental services. JB works with a service provider's staff who assist him with all these activities.

JB was employed full-time for over 18 years at the VA Clinic through a contract with Easter Seals of Northern California. Unfortunately, the contract with Easter Seals was cancelled and JB lost his job. JB has always worked and supported himself with those earnings and was never eligible for social security benefits. He has filed for unemployment and has been supported by his service coordinator with a referral to the Department of Rehabilitation.

While JB has been a remarkable and reliable employee, he unfortunately had a falling out with his father (who had been living with JB for a short time) and they got into a physical altercation. JB was accused of assault and is currently on a diversion plan. He attends anger management classes and works with a service provider for mental health services to ensure he complies with the diversion plan. This recent job loss has contributed to his stress and he is concerned about the court and compliance with the judge's order. The service coordinator is requesting support from the board opportunity fund to help JB pay back-owed rent and to assist with 2 months of rent while he looks for a new job.

\$1087 (back rent through December)  
\$1123.50 x 2 (rent for January and February 2023)  
TOTAL=\$3334.00

TOTAL FUNDS REQUESTED: \$3334.00

ALTERNATIVE RESOURCES EXPLORED: Unemployment insurance, DOR Referral-Contacted PRIDE Industries for career options. JB does receive unemployment in the amount of \$250.00 per week. He accesses food banks and other local charities for food and help with utilities.





Date submitted to NBRC Board for review

02/01/23

Date approved by NBRC Board Executive Committee (if applicable)

Date approved by NBRC Board

Operations

Purchase of Service

The following contracts have been reviewed by Courtney Singleton, Director of Community Services, Isabel Calder, Chief Financial Officer and Gabriel Rogin, Executive Director. All recommend approval by the NBRC Board of Directors.

Purpose of Contract	Consumers Served	Contractor Name and Vendor# (if applicable)	Term of Contract	Contract Amounts	Notes
Crisis Services	Projected 100 Clients	Turning Point Community Programs (TPCP)	02/06/2023-12/31/2023	\$3,391.32 (Individual Monthly Rate) \$3,730,452 (maximum contract amount)	START Program

**Summary:**

TPCP’s California START North Bay (CSNB) program is an evidenced-based, comprehensive model with a person-centered approach that applies principles of positive psychology, utilizes therapeutic tools, and creates linkages to natural and community-based resources. Services of the CSNB program include but are not limited to: Ongoing biopsychosocial assessments; Determination and assessment of strengths of the individual and team; System engagement and consultation; Cross systems crisis prevention and intervention planning; Comprehensive service evaluations; Clinical, medical and other interdisciplinary consultation and collaboration; and all other planned clinical team activities. Diagnostic eligibility for the program includes Intellectual Disability (ID), Developmental Disability, a 5th Category as defined by NBRC that includes disabling conditions found to be closely related to ID or require treatments similar to those needed for individuals with ID, Autism Spectrum Disorders and any co-occurring mental health diagnosis that is impairing the individual’s functioning or symptoms.

CSNB individual services provided:

- Community Support
- Crisis Intervention & Stabilization

- Crisis On-Call Support
- Medical/Psychiatric Consultation
- CSNB Facilitated Team Meeting(s)
- Comprehensive Service Evaluation
- Cross-Systems Crisis Prevention/Intervention Plan
- Clinical Education Team and Follow Up
- Assessment
- Referrals and Linkages
- Outreach Visits
- Coordination



# North Bay Regional Center Doug Cleveland Board Opportunity Fund February 1, 2023, Board Meeting

The Doug Cleveland Board Opportunity Fund ending balance as of December 31, 2022, was **\$78,379.74**.

Below are the transactions that occurred in the month of December 2022:

## Donations and Deposits:

- |                 |             |
|-----------------|-------------|
| • Two Donations | \$10,500.00 |
| • No Deposits   | \$ 0.00     |

## Awards:

- There were no grants issued.

Ops Expenditures 5 month 44%		CFO Board Report As of December 31, 2022 50% of the fiscal year has elapsed			POS Expenditures 5 month 40%	
<b>OPERATIONS</b>		<b>Total Ops Allocation: \$</b>			<b>31,797,439</b>	
Total General Ops Contract: \$		30,836,188		Total CPP Contract: \$		-
General Ops Amount Available: \$		17,303,092		CPP Contract Amount Available: \$		-
						<b>Total YTD</b>
<b>OPERATIONS EXPENSE (OPS)</b>	<b>YTD Actual</b>	<b>% by category</b>	<b>Forecast*</b>	<b>Actual + Forecast</b>		
Personnel	\$ 9,728,481	71.89%	\$ 11,858,841	\$	21,587,322	
Benefits	\$ 2,048,589	15.14%	\$ 3,469,333	\$	5,517,922	
Facilities	\$ 1,079,299	7.98%	\$ 1,002,413	\$	2,081,712	
Equipment	\$ 236,875	1.75%	\$ 427,125	\$	664,000	
Communications	\$ 216,196	1.60%	\$ 58,804	\$	275,000	
Mileage	\$ 78,437	0.58%	\$ 102,365	\$	180,802	
Legal	\$ 15,675	0.12%	\$ 34,325	\$	50,000	
General Office	\$ 28,878	0.21%	\$ 3,122	\$	32,000	
Consultants	\$ 149,321	1.10%	\$ 253,579	\$	402,900	
Bank Fee and LOC	\$ 14,789	0.11%	\$ 15,855	\$	30,644	
Other Expenses	\$ 29,775	0.22%	\$ 145,225	\$	175,000	
Revenue	\$ (93,219)	-0.69%	\$ (80,000)	\$	(173,219)	
Community Placement Plan (CPP)	\$ -	0.00%	\$ 672,897	\$	672,897	
<b>Total Operations Expenses</b>	<b>\$ 13,533,096</b>		<b>\$ 17,963,884</b>	<b>\$</b>	<b>31,496,980</b>	
<b>Senior Companion Program - Grant</b>	<b>YTD Actual</b>	<b>% by category</b>	<b>Forecast*</b>	<b>Actual + Forecast</b>		
Grant	\$ 119,983	42%	\$ 168,371	\$	288,354	
<b>Total Ops Paid: \$</b>		<b>13,653,079</b>				
<b>PURCHASE OF SERVICES</b>		<b>Total POS Allocation: \$</b>			<b>436,398,223</b>	
Total POS Contract: \$		436,398,223		Total CPP Contract: \$		-
POS Contract Amount Available: \$		263,082,152		CPP Contract Amount Available: \$		-
<b>PURCHASE OF SERVICES (POS)</b>	<b>YTD Actual</b>	<b>% YTD Total</b>	<b>Forecast*</b>	<b>Total YTD Actual + Forecast*</b>		
Community Care Facilities	\$ 58,515,976	33.8%	\$ 85,072,080	\$	143,588,056	
Supported Living Services	\$ 42,716,204	24.6%	\$ 62,078,463	\$	104,794,667	
Day Programs	\$ 31,330,586	18.1%	\$ 45,936,161	\$	77,266,747	
Behavioral Services	\$ 13,592,235	7.8%	\$ 20,051,440	\$	33,643,675	
Other	\$ 12,966,031	7.5%	\$ 35,218,466	\$	48,184,497	
Transportation	\$ 6,439,735	3.7%	\$ 8,199,574	\$	14,639,309	
Respite	\$ 4,086,809	2.4%	\$ 4,955,078	\$	9,041,887	
Medical Services	\$ 3,668,495	2.1%	\$ 5,381,023	\$	9,049,518	
Community Placement Plan (CPP)	\$ -	0.0%	\$ -	\$	-	
<b>TOTAL POS EXPENSES</b>	<b>\$ 173,316,071</b>	<b>100.0%</b>	<b>\$ 266,892,285</b>	<b>\$</b>	<b>440,208,356</b>	
*This budget reflects through the D-1 for FY23.						
<b>Total Regional Center Budget:</b>				<b>\$</b>	<b>468,195,662</b>	

## VAC MEETING MINUTES



**Vendor Advisory Committee**  
North Bay Regional Center

November 8, 2022 at 10:00 am - 11:30 am  
Via Zoom



- A. CALL TO ORDER- *Stacey Martinez, VAC Co-Chair*, called the meeting to order at 10:00 am.
- a. Roll Call of Voting Members: Stacey Martinez, Eric Martin, Jeremy Hogan, Mike Lisenko, Michelle Ramirez, James Cox, Jessica Sadowsky, Michele Rogers, Mary Eble, Jamie F Thompson, Breeanne Kolster (absent).
  - b. Establish Quorum: established
- B. CONSIDERATION OF AGENDA
- a. Additions or modifications to this agenda by voting members – None
- C. APPROVAL OF MINUTES:
- a. VAC will vote on the October 2022 minutes at the next meeting.
- D. A Moment of Silence for John McCue
- a. The Vendor Advisory Committee shared thoughtful comments about their experience working with John McCue.
- E. Presentation
- a. Melissa Slama, NBRC Senior Companion Program Supervisor, couldn't present during the meeting and will plan to present at the next VAC meeting.
- F. GROUP REPORTS
- a. Napa- Solano Vendor Group – None
  - b. Sonoma Vendor Group – None
  - c. Residential – None
  - d. Housing –
    - a. Mary Eble shared about the HUD 811 Program. There are a total of 51 units in Santa Rosa with 4 vacancies.
  - e. Transportation

*Leticia Leon, R&D Transportation*, shared screen of the document below.  
- [NBRC VAC - Transportation Providers Risk Mitigation Policies 2022.11.08.pdf](#)
  - f. Trade Associations
    - a. CDSA – None
    - b. CCLN
      1. Jacquie V. Foss noted the following.

- There is a problem with the rate for Independent Living Services and CCLN and the Department of Developmental Services recognizes it.
    - Jacquie noted her concern with the potential of a rate cut on July 1, 2024 due to a change in the rate calculations.
- c. ANCOR
  1. Linda Plourde, Bayberry Inc., shared the following.
    - a. Jessica Sadowsky and Linda Plourde attended the Public Policy Conference and shared key points with legislators. Linda shared these key points with the Vendor Advisory Committee.
    - b. The Association of Regional Center Agencies (ARCA) has become the first new member of 2023 for ANCOR.
- d. ARC/UCP –
  1. Stacey Martinez, VAC Co-Chair, shared that the ARC National Convention is taking place tomorrow in Denver.
- g. NBRC Board Report
  - a. *Stacey Martinez, VAC Co-Chair*, reported the following in Breeanne Kolster's absence.
    1. We started our meeting with public comments and we had a parent hop on to say hi and introduce themselves. Mary Agnes Nolan came on to share that the NCI interviews are in full swing and she will be looking to hire more interviewers in the near future. We also had a community member present information on the Council for Autistic Empowerment, a group that provides support to families and individuals with Autism.
    2. I'd like to remind the VAC that anyone is welcome to join the board calls and listen in as well as come and share public comment at any time as the board is open to hearing from vendors too! Our meetings are on the 1st Wednesday of every month at 6pm.
    3. Isabel Calder, NBRC Chief Financial Officer, provided an overview of Regional Center's Contract, Reporting and Cashflow overview as the board has many new members. It helped give us an understanding on how the regional center receives funds from DDS and how they pay out vendors etc. Isabel did a great job explaining the nuances and we are lucky to have her, especially because due to her focus and keeping NBRC on track vendors get paid out in a timely manner. She also highlighted how the rate study acceleration payments will be coming and the impact on the accounts to ensure providers get this without issue.
    4. Courtney Singleton, Director of Community Services, requested approval of Supported Living Contracts over \$250,000, however prior to doing so we heard from Jacquie at STEP and Michelle from On my Own. We were able to hear more about what Supported Living is & how beneficial it is for people to receive these services. It was also great to have Michelle and Jacquie join!

5. The Strategic Planning Committee met recently to continue the great work on updating the vision statement. Gabriel Rogin, NBRC Executive Director, shared the focus areas that have been identified and workgroups will be in place soon to move the needle forward.
6. The Board approved the newly updated Employment First Policy! The board has been revising some policies and this is the first to be updated and approved. Courtney came to a meeting in September and shared the draft Employment Policy with us for review/feedback but if anyone on this call would like a copy of it, please let [JanelleS@nbrc.net](mailto:JanelleS@nbrc.net) know and she can get you a copy.
7. Gabriel Rogin, NBRC Executive Director's Report included the following.
  - a. Reviewed the 2023 training plan for the board
  - b. Reviewed the 4731-complaint summary format
  - c. Discussed the Little Hoover Commission
  - d. Discussed the LA Times/additional news stories focusing on the ID/DD community and disparities within the system depending on where people live.
  - e. Gabriel continues to give kudos for all the hard work of NBRC staff and vendors
8. The Consumer Corner fall issue came out on October 27th, and it highlighted Self Determination and Board Secretary and Self Advocate Sara Speck. It is worth a read! It can be found on the NBRC website.
  - a. <https://nbrc.net/fall-consumer-newsletter/>

h. ARCA

- a. *Gabriel Rogin, NBRC Executive Director*, shared the following items discussed during the ARCA meetings.
  1. Impact of new regional center and service provider Performance Measures
  2. Regional centers are growing rapidly. A discussion took place around the impact on capacity and workload.
  3. Long-term future of remote services
  4. The Little Hoover Commission
    - a. Discussion around the potential for some standardization across regional centers.
- b. Gabriel Rogin, NBRC Executive Director, shared his appreciation to service providers for the Vendor Fair.

i. Committees/Subcommittees

- a. Public Policy Advisory Committee (PPAC)
  1. *Linda Plourde, Bayberry Inc.*, shared the following items covered during the last meeting.
    - a. Remote services
    - b. Legislative event upcoming in Spring 2023
      - i. Jeremy Hogan shared that PPAC is working on identifying a date in late March or early April to host the event.
    - c. Social Security Updates

- i. Ali Tabatabai shared that a cost-of-living increase will be applied to those receiving Social Security Income starting January 1<sup>st</sup>, 2023. For more information, click the link below.
      - 1. [https://www.ssa.gov/myaccount/?utm\\_medium=cpc&utm\\_source=bing&utm\\_content=ssa-generic-ad1&utm\\_campaign=mysocialsecurity](https://www.ssa.gov/myaccount/?utm_medium=cpc&utm_source=bing&utm_content=ssa-generic-ad1&utm_campaign=mysocialsecurity)
  - b. Cultural Diversity (Cultural Linguistic Competency)
    - 1. *Tyler Wallace, CLC Co-Chair*, reported the following via email.
      - a. We Discussed the ongoing cultural humility training project.
      - b. CLC is considering working with Ana Horta, NBRC Diversity and Equity Supervisor, to provide cultural humility training.
      - c. The Holiday Awareness calendar is in the process of being completed.
      - d. If anyone is interested in joining, the next meeting is on Thursday November 17<sup>th</sup> at 9am.
        - i. Reach out to Tyler Wallace if you would like to join. [twallace@ucpnb.org](mailto:twallace@ucpnb.org)
  - c. Early Intervention/Early Start Subcommittee
    - 1. Michele Rogers noted a trend of record intake numbers for infants and toddlers and of declines in regional center services at Independent Family Support Plan Meetings.
    - 2. Michele expressed the challenge with hiring staff.
  - d. SLS/ILS Subcommittee
    - 1. Eric Martin shared his thoughts regarding Supported Living ratios.
    - 2. We had Courtney Singleton, NBRC Director of Community Services, join our meeting to share about the regulations.

#### G. NBRC UPDATES:

- a. Fiscal
  - a. Isabel Calder, NBRC Chief Financial Officer, notified the group of the following.
    - 1. All the rate adjustments for vendors that missed the April 1<sup>st</sup>, 2022 date will be accounted for on the check run after November 14<sup>th</sup>, 2022.
    - 2. The Direct Support Professional (DSP) Survey Incentive will be sent during the check run on November 14<sup>th</sup>, 2022.
- b. Vendor Relations
  - a. Courtney Singleton, NBRC Director of Community Services, shared screen of the Social Recreation Services Grant that her department submitted yesterday. DDS will review and approve final grants shortly.
- c. Quality Assurance – None
- d. Self-Determination Program (SDP)
  - a. Isabel Calder, NBRC Chief Financial Officer, shared that the Self-Determination Program made a lot of progress.

#### H. NEW BUSINESS



- a. Questions & Discussion
  - a. Tailored Day Services
    - 1. The committee had a discussion around the parameters and documenting for tailored day services.
  - b. Transportation Concerns

## I. OLD BUSINESS

- a. VAC Memberships
  - a. Adria Carson was recommended by the VAC to the Board of Director's to become a VAC Voting Member. We are waiting for the Board of Director's Nominating Committee to interview Adria.
  - b. Jamie Thompson has accepted the Executive Director position at Napa Valley Support Services. Jamie's new email – [jamie@napavalley-supportservices.org](mailto:jamie@napavalley-supportservices.org)
- b. The NBRC Holiday Arts & Crafts Fair will be held at the NBRC Napa Office on November 30, 2022.

## J. GENERAL ANNOUNCEMENTS

- a. Training/Events
  - a. Tobias Weare shared via chat: <https://conta.cc/3fBzDRT> - Link to trainings.
    - 1. The SCDD is recruiting for a permanent full-time Office Technician (Typing) in the Vallejo regional office. If you have eligibility and are interested in applying for this vacancy, please see the job announcement and duty statement at the link below. Please also share with anyone you know who may be interested in applying.
      - a. <https://www.calcareers.ca.gov/CalHrPublic/Jobs/JobPosting.aspx?JobControlId=339095>
    - 2. Below minimum wage rates training
      - a. [FINAL - Phasing Out 14c- Flyer Portrait.png](#)
  - b. December Holiday Potluck & Short Business Meeting will be in person at the Napa NBRC office on December 13<sup>th</sup>.
  - c. Vallejo Soroptimist to offer award
    - 1. <https://www.soroptimist.org/our-work/live-your-dream-awards/apply-for-the-live-your-dream-awards.html>
  - d. VAC Application: <https://forms.gle/PTRVKiwXoi8HzQZB8>
- b. Community Concerns - None
- c. Reminders – None

## K. AGENDA ITEMS FOR FUTURE MEETINGS – None

- L. ADJOURNMENT- *Stacey Martinez, VAC Co-Chair*, ended the meeting.  
11:31AM



*The Zoom Meeting stayed open until 12 pm for networking opportunities!*

## Request for Board Vice President Nominations

Jeremy Johnson's second and final term as Vice-President will end on March 31<sup>st</sup>. He will continue to serve on the board but can no longer serve as Vice-President. Please join me in thanking Jeremy for his outstanding service as Vice-President!

This means that the board will need a new Vice-President starting on April 1<sup>st</sup>.

7/18 – 6/21

Jeremy Johnson

Solano

7/21 – 6/24

7/24 – 6/25

(6/25)

**VICE PRESIDENT:**

4/19 – 3/21, 4/21 - 3/23

The Vice-President is an important role. The Vice-President is a member of the Executive Committee and, according to the bylaws, "shall perform all duties and exercise all powers of the President when the President is absent or is otherwise unable to act."

# Understanding California's Regional Center Service System

*An effective and growing system, serving hundreds of thousands of individuals*

California's 21 regional centers are community-based non-profits funded with state and federal dollars. For nearly 400,000 Californians with developmental disabilities, or about one percent of the state's population, their regional center is not merely the official point of entry. Their center is where service starts. Services for these individuals are coordinated by the regional center, making them the key link between individuals with developmental disabilities, their families, and the services they need.

## **LONGSTANDING HISTORY OF REGIONAL CENTERS SUCCESS: MORE INDIVIDUALS SERVED, EXPANDED SERVICES**

The Lanterman Act (est. 1969) defined the rights of individuals with developmental disabilities and established a service system to meet needs of individuals and their families throughout California. Unique in the U.S., service access starts upon eligibility, based only on a diagnosis that significantly impacts the person. Many states have waitlists, with times ranging from two years (WA) to 6 years (TX) to 13 years (OK). The system continues to improve and expand individually responsive service options to help people lead full, integrated lives of their choosing. The primary role of regional centers is to identify, coordinate, and monitor a set of services uniquely matched to each person's needs, goals, and culture that provide quality outcomes to all people served.

*In a recent National Core Indicators survey of 5,000 respondents, the vast majority of people served by regional centers say their experiences are positive, with over 90 percent of people reporting their services and supports through regional centers help them live a good life.*

## **MEETING THE NEEDS OF INDIVIDUALS SERVED**

Businesses called "service providers" contract with regional centers to provide the many services and supports individuals need. Each person's needs, goals, and services are described in an individual program plan (IPP) or individualized family service plan (IFSP). Regional centers' most visible and important role is to identify, coordinate, and monitor those services, but also provide:

- Assessment, diagnosis, and referrals
- Assistance in finding and accessing community and other resources
- Payment for services included in the IPP/IFSP where other funds are not available
- Advocacy for the protection of legal, civil, and service rights
- Early intervention services (Early Start) for at-risk infants and their families
- Support to help ensure individuals can remain within their family
- Planning, placement, and monitoring for 24-hour out-of-home care
- Training and educational opportunities for individuals and families
- Development of new services to better meet individual needs



## An Effective and Growing System, Serving Hundreds of Thousands of Individuals *Increased funding needed to improve caseload ratios*

California's developmental disabilities services system serves ~400,000 individuals (approx. 1% of CA's population). Through 21 community-based regional centers, funded with state and federal dollars, individuals and their families have access to professionals who connect them to services and resources to meet their unique needs. The regional center is where service starts, making them a vital link between individuals with developmental disabilities, their families, and the services they need.

Given that critical role, the state must support regional centers with realistic, stable funding to provide the services needed and to address the shortfall of hundreds of service coordinators.

### FUNDING SHORTFALL

The state recognizes this funding need, and has taken some positive steps. But is not enough to meet the rapid growth of the system and address the significant shortfall of hundreds of service coordinators. Prior funding increases only covered part of the shortfall. More work is needed to ensure effective and efficient service to all individuals and families served.

A [recent State Auditor report](#) noted a root cause of some challenges the system faces today is a funding shortfall, which has led to a severe shortage of service coordinators. This dynamic impacts efforts to continuously improve on the delivery of consistent, efficient, and timely services to children and adults with developmental disabilities.

*Regional centers "are not adequately funded" and that the "fiscal issues are dramatic."*

- Former Assemblymember and current Little Hoover Commission member Dion Aroner



### SHORTAGE OF SERVICE COORDINATORS

Service coordination is the core of regional center work. Each person served is paired with a service coordinator to plan to meet their needs, based on diagnosis, age, support needs, preferences, and cultural values. The hallmarks of successful service coordination include trusting relationships, individualized plans, and securing needed resources to make goals into realities. In recent years excessive caseloads have made it harder for service coordinators to give needed time to those they serve.

Average caseload ratios are higher than best practices, state law, and an agreement with the federal government require. Prior state investments highlight this truth. But even as centers work to hire new service coordinators, a comprehensive solution is still lacking. New expectations and old funding formulas mean that even if every dollar already promised was spent, the system would still be short hundreds of service coordinators.

Individuals with developmental disabilities, their families, and regional centers need the Governor and the Legislature to address this funding problem in the 2023-24 budget. ARCA will be working with all stakeholders to educate elected officials and secure the necessary financial commitment and state support to bring regional center funding levels to sustainable levels as they continue to serve a growing and deserving population.





## ARCA and regional centers address racial, ethnic disparities, and work to improve service access statewide

To ensure California’s developmental disabilities service system can meet new and diverse needs, ARCA and California’s 21 regional centers are committed to ongoing work to identify, understand, and address disparities in service access. Additionally, we are working to improve consistency in key areas statewide. California’s unique system has many complexities that come from serving nearly 400,000 individuals, or about 1 percent of the state’s population. Key areas that can be addressed include:

1. Systemic barriers with disproportionate impacts
2. System capacity as a tool to meet diverse needs
3. Racial, ethnic, and linguistic equity initiatives
4. Opportunities for greater consistency

### TACKLING SYSTEMIC BARRIERS TO BENEFIT DIVERSE COMMUNITIES

Systemic barriers create challenges for individuals and families served, **particularly** in underserved communities. These include statutory requirements around generic services, least costly-vendors, rates, and fees. Overcoming these barriers exist and how they can be overcome is critical in the path forward to minimizing any disparities within the regional centers system.



Since regional centers are built to connect people to services, they are required to first find out if another agency is already responsible for meeting a need before they can pay for it (“Payer of last resort”). But a Recession-era change added a requirement that people not only be formally denied by that other agency, they then have to appeal that denial. This bureaucratic hurdle was a cost-saving measure. It makes it harder to get services, so people - especially in underserved communities - give up, even though they need the service. **ARCA recommends** the statutory requirement for appealing generic agency denials be reversed to make it easier to demonstrate the exhaustion of generic resources, and that data on each person’s use of generic services be made available to regional centers.

### IMPROVED SYSTEM CAPACITY TO BETTER MEET DIVERSE NEEDS

System capacity continues to be impacted by excessive caseloads, along with an inadequate service provider network, and gaps in data. Each must be addressed to ensure the existing system can use data to guide services to better meet the unique needs of 400,000 individuals served.

The system continues to grow, both in complexity and number of people served. At the same time, service coordinators must support too many individuals, sacrificing time needed to better understand their unique needs. This is a direct result of an antiquated formula that is the basis of regional center funding. It uses employee salary estimates that have not been comprehensively revised for over twenty years. This underfunding means fewer service coordinators can be hired, and they then end up serving more clients than statute permits. **ARCA recommends** this formula be modernized to ensure it adjusts with time to improve on individual support and outcomes.

## RACIAL, ETHNIC, AND LINGUISTIC EQUITY INITIATIVES

Through initiatives focused on enhanced equity data, equity grants, improved individualized services, and restoring social recreation services, regional centers continue to work towards greater equity in service delivery regardless of race, ethnicity, or language.

Regional centers publicly share spending data on individuals according to race, ethnicity, language, and other factors. While these requirements have expanded over time, the state has not yet identified which data elements will be used to evaluate success in equity, making it difficult to develop and evaluate policies meant to address this issue. **ARCA recommends** the state identify a valid, reliable, and consistent set of data elements that will be used to measure progress in service equity across racial, ethnic, and linguistic lines.



## EMBRACING OPPORTUNITIES FOR GREATER CONSISTENCY

Regional centers were intentionally designed to be community-based organizations that reflect their community and are responsive to local needs. Given the wide range of local circumstances, regional centers were not intended to be a one size fits all system. However, a greater level of consistency in key areas can improve how regional centers meet the unique needs of all individuals. **ARCA has made a commitment** to enhance consistency in key areas including family supports, website transparency, service provider contracting, and intake.

ARCA and regional centers are committed to continuously improve a system that now works, and will create solutions in four major areas by the end of 2023, including:

1. Standardizing how family support needs, including respite, are assessed
2. Making it easier to access regional center data reports
3. Simplifying the process for new service providers to contract with regional centers
4. Creating more similar intake processes statewide, that are smoother for foster children

ARCA and its member regional centers remain committed to equitably serving Californians with developmental disabilities and their families. ARCA will continue to work collaboratively with stakeholders, advocacy organizations, the Legislature, and the Administration to continue advocating for improvements to service for those served by regional centers and their families.







## How One Family Navigated a Successful Transition During a Challenging Time

Jimmy, a cheerful and adventurous 15-year-old with autism, had a strong bond with his father, Jim. When Jim, a children’s book author and English as a Second Language teacher, passed away in a tragic car accident in 2021, Jimmy and his mother, Beatriz, were left in a state of uncertainty and hesitation about how to move forward with the developmental disabilities services system to adjust their blend of services to meet Jimmy’s unique needs. Jim played a pivotal and primary role in his son’s life, and for the family, by taking on the responsibility of navigating the system to obtain – and provide – the services needed throughout Jimmy’s life.

*"[Ella] me escuchó pacientemente y empezó a darme soluciones como podiar ayudarme, y darme información que me podía ayudar."*

— Beatriz, acerca de su coordinadora de servicios, María

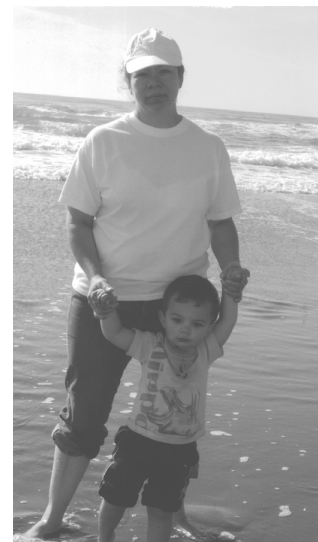
*"She listened to me patiently and began to give me solutions that could assist me, and helpful information."*

— Beatriz, on her service coordinator, Maria

After Jim passed away, Beatriz needed Spanish-language service coordination to enable her to navigate the regional center. Their prior service coordinator worked well with Jim, who was a native English speaker. But a change was needed for Beatriz, who was going to take over the primary caregiver role.

In partnership with, and through guidance from, Alta California Regional Center in Sacramento, Beatriz and Jimmy were able to begin a daunting, yet ultimately successful transition, during an emotionally challenging time full of new needs. Alta took the time to understand the unique needs of the family and the circumstance, first by supporting a transition to a new, bilingual service coordinator, and then putting a plan in place to meet the continued and new needs of Jimmy and Beatriz.

*"I'm really happy with all of the services I have now with Alta for the support they have given me during the transition. I saw a lot of progress, because I can breathe now," said Beatriz.*



## MARIA FOSTERS MEANINGFUL CONNECTIONS AS A SERVICE COORDINATOR

Maria, a service coordinator at Alta, serves the Latino community and has a background in providing applied behavior analysis therapy within home and school settings, mainly for children with autism. Maria was assigned to Jimmy's case to provide support during this time of transition.

With patience and understanding, Maria offered immediate support and served as a vital resource to Jimmy and Beatriz. Maria's ability to connect with Beatriz provided a much-needed partnership and someone who could provide guidance in how to navigate the process of receiving developmental services more efficiently and effectively.



With Maria's assistance, Beatriz received more than 120 hours of respite a quarter to meet the family's unique needs. But Jimmy also needed a better school placement to meet his support needs. While she knew it would be positive, Beatriz was, naturally, still concerned about the change. So Maria went with her to 3 different schools, and Beatriz was able to both raise her concerns and have them answered. "It was really good support for me," Beatriz said. With that support, and the information she needed, she was able to pick the most suitable school for Jimmy. Now, he has on-site services that help him thrive, and Beatriz reports "he's really happy in the school, and he's really excited to go to the school every day."

Next up? Jimmy's ready to learn how to swim, and he always loved camping trips with his father. So Maria and Beatriz are working to set up access to much-wanted social recreation and camp services for Jimmy.

*"I'm looking to make lives easier, and more independent for clients...Families just need someone to listen and provide guidance. It's important to offer as much help as possible, help them learn how to access resources, always be available whenever necessary. And build trust and empathy."*

— Maria

Receiving support from Alta, and developing a strong and trusting relationship with Maria, has made a tremendous difference in the lives of Jimmy and Beatriz. Having access to a service coordinator who could meet the needs of the family has made a life-changing difference during what would be, even in the best of circumstances, a very challenging time.

Maria is just the newest member of a long list of people Beatriz is grateful for. "They are all involved in Jimmy's success."



# Draft Strategic Plan

## BACKGROUND:

Since Fiscal Year 2013/14, ARCA has operated under a strategic plan to help ensure the work of the staff and the organization as a whole are consistent with its overall goals. As each strategic plan generally covers a three-year period, specific priorities consistent with the plan are identified each year. In October 2022, ARCA Board members and staff participated in a strategic planning retreat to identify the major priorities for the organization for Fiscal Years 2023/24 – 2025/26, which are:

- Funding for Current and Future Needs;
- Flexible and Sustainable Services;
- Preservation of the Lanterman Act and Efficacy of the Developmental Services System; and,
- Inclusive and Equitable Communities and Decision-Making.

The Board of Directors also spent time at the retreat identifying key strategies for approaching the work in the above areas. Since that time, ARCA staff drafted a strategic plan document that incorporates work from the retreat.

## ANALYSIS/DISCUSSION:

ARCA's Strategic Plan for Fiscal Years 2023/24 – 2025/26 is now in draft form and ready to share with individual regional center boards as well as various ARCA discipline groups and committees for their comments and feedback. Please keep in mind through the feedback process:

- ARCA's mission is to support regional centers to do their work on behalf of individuals with developmental disabilities in the community.
- This plan is intended to help prioritize work for a three-year period, so it is by design very high level.
- As the group spent a significant amount of time in the pre-retreat survey and at the retreat itself establishing the overall goals (beginning with "ARCA envisions"), ARCA needs feedback that helps to clarify and strengthen those.
- Given the specific strategies (beginning with "ARCA will work to implement this change by") are less refined, comments designed to identify and shape those are welcome.
- After review and discussion, please email feedback to Amy Westling ([awestling@arcnet.org](mailto:awestling@arcnet.org)) no later than March 31, 2023. This will enable staff and the Strategic Planning Committee to make needed revisions for final approval at the June ARCA Board of Directors meeting.

**ATTACHMENT(S):** Draft Strategic Plan for Fiscal Years 2023/24 – 2025/26



**Association of Regional Center Agencies  
Strategic Plan  
Fiscal Years 2023/24-2025/26**

DRAFT

## **The Strategic Planning Process**

### ***The Intent and Objective: Why a Strategic Plan?***

Strategic planning determines where an organization is going, how it's going to get there, and how it'll know if it got there or not. The fundamental purpose of strategic planning is to align the mission and vision with appropriate strategies and initiatives. Without them, the plan exists in a vacuum. And organizations that develop plans without considering mission and vision usually fail in their execution. The value statements are also important to the strategic planning process, as they provide a touchstone for the organization for how business decisions are made, and what are acceptable strategies and tactics. Goals, strategies, and tasks are the cornerstone of every strategic plan. They are the roadmap for where the organization is going. They define what will be accomplished, by whom, and when. By having focused goals, strategies, and tasks — coupled with a strong accountability system — an organization's likelihood of success is enhanced.

### ***The Next Step: Conducting a Strategic Planning Process***

In 2012, ARCA began work on the strategic plan that guided its efforts during the 2013-14 through 2015-16 Fiscal Years. The plan was later revised to guide the organization's work during both the 2016-17 through 2018-19 Fiscal Years and the 2019-20 through 2022-23 Fiscal Years. Most recently, ARCA convened a strategic planning retreat in October 2022 to begin work on its updated strategic plan, intended to guide its work in the coming three Fiscal Years. This document is the result of that concentrated discussion, as well as further consultation with ARCA's various discipline groups and committees.

Given the extensive review of the Vision, Mission, and Values of the organization that was undertaken in 2012, it was agreed that they continue to be current and applicable. It was felt that it was essential all regional centers have an opportunity to participate in the strategic planning process. As such, each regional center Executive Director and Board Delegate was asked to jointly complete a survey regarding the current strengths, challenges, and priorities that should be considered as the strategic planning process unfolded.

## **Vision, Mission, Values**

### ***Vision***

The Association of Regional Center Agencies effectively represents a strong regional center system that supports Californians with developmental disabilities and the developmental services system.

### ***Mission***

The mission of the Association of Regional Center Agencies (ARCA) is to promote, support, and advance regional centers in achieving the intent and mandate of the Lanterman Developmental Disabilities Services Act in providing community-based services that enable individuals with developmental disabilities to achieve their full potential and highest level of self-sufficiency.

### ***Values***

The Association of Regional Center Agencies values:

- Respectful interactions with all stakeholders;
- Democratic decision-making among its members;
- Honesty and integrity in communicating with all stakeholders;
- Analysis of relevant information and crafting of policy;
- Assertive leadership and advocacy; and,
- Effective negotiation of agreements with DDS and partner organizations.

## **Funding for Current and Future Needs**

ARCA will pursue funding to enhance stability and supporting greater individual choice while achieving the intent and mandate of the Lanterman Developmental Disabilities Services Act.

*ARCA envisions sustainable funding that is adequate to meet mandates and allows California to keep its commitments to people with developmental disabilities through individually-chosen services and lifelong service coordination supported by manageable caseload ratios.*

### ***The developmental disabilities service system has seen...***

The State has made recent investments to reduce caseload ratios, particularly for targeted populations, and update service provider rates to link them to the actual cost of service delivery, but neither reform is accompanied by a long-term commitment to keep funding levels updated. Steps are being taken to tie reimbursements to individual outcomes, with priority being given to more robust data collection, choice, person-centered planning, and cultural competence. The lack of guaranteed funding leads to annual budgetary vulnerability. In the long term, this uncertainty, coupled with a lack of automatic adjustments in response to inflationary pressures, has resulted in inadequate staffing and high turnover in both regional center and service provider workforces, which compromises quality outcomes for individuals, particularly in the areas of choice of service providers and lifelong person-centered planning.

### ***ARCA will work to implement change by:***

1. Directly advocating for rates that are based on the actual cost of service provision and automatically adjust with time, leveraging ARCA's resources to calculate fiscal impacts, connecting advocates to legislative champions and decisionmakers in the Administration, and demonstrating the importance of this due to its impact on individuals served and their families as they seek greater person-centered choice and community participation.
2. Leading efforts to modernize and automatically update regional centers' Core Staffing Formula through a legislative and public relations campaign that includes rich data, cultivation of legislative champions, and advocacy coalition building with active participation from people served, their families, and local and statewide service provider organizations to highlight the importance of supporting robust service coordination.
3. Exploring and pursuing, with the support of stakeholders and governmental champions, reliable funding sources for the developmental services system outside the state's General Fund, beginning with an in-depth analysis of the funding streams for education and behavioral health systems.
4. XXX
5. XXX

## **Flexible and Sustainable Services**

ARCA will pursue reforms to enhance resources to meet individual needs as envisioned in the Lanterman Developmental Disabilities Services Act.

*ARCA envisions a developmental services system that efficiently offers a greater variety of services and enhanced choice to people with developmental disabilities in order to empower them to meet their individualized person-centered goals.*

### ***The developmental disabilities service system has seen...***

A foundational tenet of California's developmental services system is that services and supports should be uniquely tailored to enable each person served to meet his or her individualized goals, which requires the expansion of flexible service models as the people served become increasingly diverse racially, ethnically, and linguistically, as well as in their needs and preferences. The system has seen fiscal constraints and limitations on flexibility in the services it can offer to meet identified needs. Individuals with developmental disabilities and their families are increasingly expressing a desire to access more flexible, innovative, individualized services. The pandemic demonstrated the benefit of flexible service options and control for those served by regional centers and their families, particularly as a tool for increasing service equity and satisfaction.

### ***ARCA will work to implement change by:***

1. Building momentum for needed regulatory changes, including through public relations efforts, to enhance service flexibility informed by ideas provided by individuals served and their families through focus groups, surveys, and other means.
2. Promoting the value and importance of regional center flexibility to operationalize DDS directives and statutory and regulatory changes, with input from their local communities.
3. Embedding the philosophy of person-centeredness in formal decision-making processes and community communications and advocating for dedicated funding for person-centered education and training for regional centers and the broader community to empower and support individualized planning and resource matching.
4. XXX
5. XXX

## **Preservation of the Lanterman Act and Efficacy of the Developmental Services System**

ARCA will pursue greater stakeholder and decision-maker understanding and support for the structure of California's developmental services system as outlined in the Lanterman Developmental Disabilities Services Act and Early Intervention Services Act.

*ARCA envisions a developmental services system that visibly and effectively supports people with developmental disabilities through regional centers, which maintain their independence, are accountable to, representative of, and embedded in their communities, and earn the support of those served, legislators, and stakeholders.*

### ***The developmental disabilities service system has seen...***

Regional centers were intentionally designed to meet the needs of their communities by making them responsive to both their state funding source and, through volunteer boards of directors, local communities. Uniform statutes, regulations, and contracts establish a consistent framework for services across regional center catchment areas. Rapid growth in both population served and state fiscal investment have led to increased scrutiny of individual regional centers and the whole service system, as well as questions about the balance between statewide consistency and local flexibility. With the support of various advocacy groups, the Legislature and Administration have increasingly constrained local control in recent years. Since its inception, the developmental services system has enjoyed bipartisan legislative support, but given legislative turnover, it lacks consistent legislative champions.

### ***ARCA will work to implement change by:***

1. Educating legislators and staff about the regional center system and the value of its independence through a coordinated media strategy that will include clear, customizable materials, multilingual outreach, and refined talking points augmented by Grassroots Day and ongoing networking.
2. Improving collaboration with stakeholders and decision-makers to champion preservation of the regional center system through regular engagement and educational opportunities, including for those without ready digital access.
3. Enhancing ARCA's role as a recognized authority for quality information and perspectives on the developmental services system through reporting on strengths and challenges, and highlighting the strategies regional centers use to meet the needs of their communities.
4. Developing meaningful metrics supported by clean, actionable information that demonstrate regional center effectiveness through the establishment of standard and consistent definitions, data collection on meaningful outcomes, and periodic evaluation with an eye towards continuous improvement.
5. Supporting greater consistency in performance across all 21 regional centers through the sharing of quality data, the establishment of a peer review and support structure, creation of a cross-regional center intervention and escalation strategy, and formalization of a mentorship process for incoming regional center Executive Directors.

## **Inclusive and Equitable Communities and Decision-Making**

ARCA will pursue reforms to support greater visible and authentic participation of those served by regional centers in all of California's geographic, ethnic, and linguistic communities.

*ARCA envisions a developmental services system led by the people it serves that supports their meaningful integration, better aligns with their wants, needs, and aspirations, and enhances their quality of life as measured by data and their satisfaction.*

### ***The developmental disabilities service system has seen...***

While its origins were in a professional-led medical model, the developmental services system continues to evolve to improve its person-centeredness and its systemic and individually-defined outcomes. Building on an understanding that people are the experts in their own lives, federal guidance now requires the balancing of what is important for the individual with what is important to them. Increasingly, communities are moving from acceptance to integration to inclusion of individuals with disabilities, but there is still a lack of broad understanding of the value their participation brings to community life. Increasingly, there is greater understanding of the importance of hearing from people served directly when possible and following their lead in the development of policy and practices, although much work remains to be done in this area to ensure its more consistent application. Much of the future work in this area can be supported through partnerships with community-based organizations to leverage available funding sources (e.g., Service Access and Equity, Community Grants, Placement Plan/Community Development Plan).

### ***ARCA will work to implement change by:***

1. Identifying, supporting, and advancing people served to participate in statewide and local committees, commissions, and initiatives, including those internal to ARCA, through highlighting their strengths, contributions, and expertise.
2. Developing two-way communication and education strategies with diverse communities to support advocacy for improving information and services available to local communities.
3. Increasing the recognition by the general public and other agencies of the presence and contributions of people served by regional centers through public relations and networking efforts.
4. Supporting the work of regional centers and their partners to increase inclusion in community life (e.g., employment and housing) among individuals with developmental disabilities through better outreach tools and advocacy for needed service capacity.
5. Enhancing the capacity of regional centers to develop more culturally sensitive and responsive services and supports through the building of provider capacity that reflects the diversity of various cultural and ethnic communities.
6. Advocating for the flexible development of a greater array of services and supports to meet the needs of people requiring differing levels of support intensity and seeking funding to address identified shortfalls in this area.



## **Operational Focus Area: Regional Center System Efficacy**

ARCA will pursue an effective, efficient organization that promotes the goals and objectives of the ARCA Board of Directors and encourages and supports regional center efforts in California.

*ARCA envisions an effective, efficient organization that promotes the goals and objectives of the Board of Directors, and encourages and supports regional center efforts in California.*

### ***ARCA will work to implement change by:***

1. Fostering relationships with state-level partners and stakeholders, including DDS, the Legislature, the State Council on Developmental Disabilities, The Arc and United Cerebral Palsy California Collaboration, and others.
2. Representing ARCA, the regional centers, and the developmental disabilities service system in legislative and Budget hearings, promoting the developmental disabilities service system at Grassroots Day and other venues, and by being responsive to requests for information, testimony, and formal and informal meetings with state representatives, including members of the Legislature, DDS, and others.
3. Promoting education and information sharing within the ARCA office, with the Board of Directors, its committees and sub-committees, task forces, key stakeholders, and our community.
4. Providing relevant and vital information to internal and external partners in a respectful, clear, and concise manner.
5. Maintaining fiscal responsibility to its regional center members with an unqualified independent audit with no material findings, and operating within budget.

## **Implementation and Accountability**

The Strategic Plan establishes a prioritization of activities for the next three years.

Annual budget and work plan development in each fiscal year should be organized around the focus areas of the Strategic Plan.

Annual focus areas will be developed at the beginning of each fiscal year.

Periodic reporting on progress will ensure there is ongoing awareness of, and engagement with, the plan.

DRAFT

# ARCA Budget Position

**DRAFT**

**ISSUE:** ARCA position on the recently released Governor’s Budget for FY 2023-24

**BACKGROUND:** The Governor released his [January Budget](#) proposal (also known as the “November Estimate”) for FY 2023-24 on Tuesday, January 10. In advance of preliminary Budget hearings in both the Senate and Assembly, ARCA needs to develop its response to the proposed Budget.

## **ANALYSIS/DISCUSSION:**

Ahead of release of the FY 2023-24 Governor’s Budget, ARCA established the following Budget priorities:

- Revisions to the Core Staffing Formula to secure needed funding and a regularly update it;
- A commitment to keep the service provider rate models updated and funded and,
- Permanent Elimination of the Annual Family Program Fee and Cost Participation Programs.

Major Policy Issues in Governor’s January Budget for Fiscal Year 2022-23:

- \$102.1M in increased costs for full funding for [1:40 caseload ratios](#) for those under age 6
  - Staff Recommendation: Support this proposal and advocate for these funding levels for all service coordinators
- \$10.1M for [rate model adjustments](#) in response to higher IRS mileage rate
  - Staff Recommendation: Support and advocate for all cost elements in the rate models to lead to automatic adjustments
- \$12.7M to fund ongoing work at regional centers (\$9.8M) and DDS (\$2.9M) on the [UFS Modernization and Client Electronic Records Management System](#) projects
  - Staff Recommendation: Support this proposal
- \$2.2M to fund increased regional center workload to carry our reporting requirements in [AB 1957 \(Wilson\)](#) related to use of restored services and timelines for sending IPP documents in threshold languages.
  - Staff Recommendation: Support this proposal and note the importance of quality data collection in measuring equity
- \$1.6M to fund 15 additional positions for regional centers to coordinate [services for foster youth](#)
  - Staff Recommendation: Support this proposal
- \$1.0M for establishment of a new [DDS Autism Services Branch](#)
  - Staff Recommendation: Discuss and develop position during ARCA meetings in January 2023
- Implementation of Safety Net Plan that includes [STAR home](#) staffing adjustments and reclassification (\$15.9M), three [homes for those with “highly complex needs”](#) (\$10.5M), and extension of 10 beds at [Porterville Developmental Center](#) (\$4.9M).
  - Staff Recommendation: Discuss and develop position during ARCA meetings in January 2023
- Reduction of \$10M due to the delayed implementation of [community preschool grants](#)
  - Staff Recommendation: Discuss and develop position during ARCA meetings in January 2023

**RECOMMENDATIONS:** To develop positions on the Governor’s January Budget for FY 2023-24 for development into a formal position letter at a later date.

**ATTACHMENT(S):** *Governor’s Budget Highlights*

**Department of Developmental Services**

**Governor's Budget Highlights**



**Gavin Newsom  
Governor  
State of California**

**Mark Ghaly MD, MPH  
Secretary  
California Health and Human Services Agency**

**Nancy Bargmann  
Director  
Department of Developmental Services**

January 2023

## **DEPARTMENT OF DEVELOPMENTAL SERVICES 2023 GOVERNOR'S BUDGET HIGHLIGHTS**

The Department of Developmental Services (Department) is responsible for administering the Lanterman Developmental Disabilities Services Act (Lanterman Act). The Lanterman Act provides for the coordination and provision of services and supports to enable people with intellectual and developmental disabilities to lead more independent, productive, and integrated lives. Additionally, the Early Start Program provides services to infants and toddlers at risk of having a developmental disability. The Department carries out its responsibilities through contracts with 21 community-based, non-profit corporations known as regional centers, as well as through state-operated homes and facilities.

The number of individuals served by regional centers (consumers) is expected to be 400,485 in the current year and increase to 420,927 in fiscal year (FY) 2023-24. In addition, 312 individuals are projected to be served in state-operated facilities as of July 1, 2023.

The Governor's Budget continues to support the needs of consumers during the public health crisis and reflects the need for increased supports in specified service categories. The Governor's Budget also proposes new policies to continue efforts to improve service access and to achieve better outcomes and consumer experiences.

### **2023 GOVERNOR'S BUDGET SUMMARY**

The Governor's Budget includes \$14.2 billion total funds (TF) (\$8.6 billion General Fund [GF]) for FY 2023-24; a net increase of \$1.6 billion TF (\$1.4 billion GF) over the updated FY 2022-23 budget, or a 12.7 percent TF increase.

In addition to caseload and utilization updates, the proposed FY 2023-24 funding supports the following new and updated items:

- Reduced Caseload Ratio for Children Aged 0-5 (\$102.1 million TF, \$68.5 million GF): Increase reflects updated caseload estimates and a revised methodology to support reduced caseload ratios of 1:40 for children ages 0-5.
- Minimum Wage Adjustment (\$78.2 million TF, \$46.4 million GF): Increase of funding to support the projected minimum wage increase effective January 1, 2024.
- STAR Home Staffing Adjustments and Intermediate Care Facility Licensure (\$15.9 million TF, \$9.8 million GF): Increase of funding for ongoing staffing resources to convert two Stabilization Training Assistance Reintegration (STAR) Homes to intermediate care facilities (ICFs) licensed through the Department of Public Health.
- Uniform Fiscal System Modernization (UFSM) and the Consumer Electronic Records Management System (CERMS) Project Planning (\$12.7 million TF, \$12.2 million GF): Funding for continued project planning efforts supporting the UFSM and the CERMS projects.

- Fairview Warm Shutdown (\$11.3 million GF): An additional year of funding to support the warm shutdown of Fairview Developmental Center.
- Complex Needs Residential Program (\$10.5 million GF): Increase of start-up resources to develop three 5-person residential homes for individuals with highly complex needs.
- Rate Model Assumptions (\$10.1 million TF, \$6.0 million GF): Increase of ongoing funding to adjust service provider rates for mileage based on updates to the federal Internal Revenue Service mileage rate.
- Extension of 10 Beds at Porterville Developmental Center (\$4.9 million GF): Increase of one-time resources to continue funding 10 additional beds at PDC through FY 2023-24 to maintain compliance with the 28-day timeline to provide services to individuals deemed incompetent to stand trial (IST), under the provisions of the *Stiavetti* lawsuit.
- Disparities within the Developmental Services System (\$2.7 million TF, \$1.8 million GF): Increase of three permanent positions and additional support to address the requirements of Chapter 314, Statutes of 2022 (Assembly Bill 1957).
- Protective Proceedings (\$1.5 million TF, \$1.2 million GF): Increase of six permanent positions to comply with the requirements of Chapter 894, Statutes of 2022 (Assembly Bill 1663) regarding protective proceedings.
- Trauma-Informed Services for Foster Youth (\$1.6 million TF, \$1.1 million GF): Increase of funding to support implementation and increased workload in coordination of trauma-informed care for dually-served youth in foster care.
- Autism Services Branch (\$1.0 million TF, \$0.8 million GF): Increase of funding for six permanent positions.
- Early Start – Part C to B Transitions, Preschool Grants (-\$10 million GF): Decrease in fiscal year 2022-23 and 2023-24 reflecting the delayed implementation of the preschool grant initiative until fiscal year 2024-25.

**Program Highlights**  
(Dollars in Thousands)

	FY 2022-23	FY 2023-24	Difference
<b>Community Services Program</b>			
Regional Centers	\$12,072,119	\$13,654,506	\$1,582,387
<b>Total, Community Services</b>	<b>\$12,072,119</b>	<b>\$13,654,506</b>	<b>\$1,582,387</b>
General Fund	\$6,771,147	\$8,189,476	\$1,418,329
Program Development Fund	\$0	\$434	\$434
Developmental Disabilities Services Account	\$150	\$150	\$0
Federal Trust Fund	\$56,501	\$54,058	(\$2,443)
Reimbursements	\$4,399,361	\$4,744,836	\$345,475
Mental Health Services Fund	\$740	\$740	\$0
HCBS ARPA	\$468,376	\$475,794	\$7,418
HCBS ARPA Reimbursements	\$375,844	\$189,018	(\$186,826)
<b>State Operated Facilities Program</b>			
Personal Services	\$271,084	\$271,030	(\$54)
Operating Expense & Equipment	\$55,597	\$69,764	\$14,167
<b>Total, State Operated Facilities</b>	<b>\$326,681</b>	<b>\$340,794</b>	<b>\$14,113</b>
General Fund	\$288,910	\$306,632	\$17,722
Lottery Education Fund	\$130	\$130	\$0
Reimbursements	\$37,641	\$34,032	(\$3,609)
<b>Headquarters Support</b>			
Personal Services	\$108,549	\$114,592	\$6,043
Operating Expense & Equipment	\$47,673	\$41,059	(\$6,614)
<b>Total, Headquarters Support</b>	<b>\$156,222</b>	<b>\$155,651</b>	<b>(\$571)</b>
General Fund	\$96,953	\$97,491	\$538
Federal Trust Fund	\$3,391	\$2,863	(\$528)
Program Development Fund	\$175	\$425	\$250
Reimbursements	\$47,942	\$49,979	\$2,037
Mental Health Services Fund	\$511	\$511	\$0
HCBS ARPA	\$5,800	\$3,750	(\$2,050)
HCBS ARPA Reimbursements	\$1,450	\$632	(\$818)
<b>Total, All Programs</b>	<b>\$12,555,022</b>	<b>\$14,151,451</b>	<b>\$1,596,429</b>
<b>Total Funding</b>			
General Fund	\$7,157,010	\$8,593,599	\$1,436,589
Federal Trust Fund	\$59,892	\$56,921	(\$2,971)
Lottery Education Fund	\$130	\$130	\$0
Program Development Fund	\$175	\$859	\$684
Developmental Disabilities Services Account	\$150	\$150	\$0
Reimbursements	\$4,484,944	\$4,828,847	\$343,903
Mental Health Services Fund	\$1,251	\$1,251	\$0
HCBS ARPA	\$474,176	\$479,544	\$5,368
HCBS ARPA Reimbursements	\$377,294	\$189,650	(\$187,644)
<b>Total, All Funds</b>	<b>\$12,555,022</b>	<b>\$14,150,951</b>	<b>\$1,595,929</b>

**Program Highlights  
(CONTINUED)**

	FY 2022-23	FY 2023-24	Difference
<b>Caseloads</b>			
State Operated Facilities	322	312	(10)
Regional Centers*	400,485	420,927	20,442
<b>Departmental Positions</b>			
State Operated Facilities	1,955.3	1,947.8	(7.5)
Headquarters	609.0	630.0	21.0

\*Does not include children who meet provisional eligibility.

**COMMUNITY SERVICES PROGRAM**

**FY 2022-23**

Costs and Fund Sources

The FY 2022-23 updated regional center budget includes \$12.1 billion TF (\$6.8 billion GF), a net decrease of \$382.7 million TF (\$524.3 million GF) as compared to the Enacted Budget. This includes a projected decrease of \$376.3 million TF in purchase of services (POS) expenditures and a decrease of \$8.8 million TF in operations costs. A decrease of \$524.3 million GF reflects utilization changes and the anticipated extension through June 30, 2023, of the Families First Coronavirus Response Act (FFCRA), which provides a temporary 6.2 percent increase in reimbursements.

<b>Costs and Fund Sources</b> <i>(Dollars in Thousands)</i>			
	<b>Enacted Budget</b>	<b>FY 2022-23</b>	<b>Difference</b>
Operations	\$1,417,279	\$1,408,459	(\$8,820)
Purchase of Services	\$11,016,433	\$10,640,119	(\$376,314)
Early Start Part C/Other Agency Costs	\$19,095	\$21,538	\$2,443
Early Start Family Resource Services	\$2,003	\$2,003	\$0
<b>Total Costs</b>	<b>\$12,454,810</b>	<b>\$12,072,119</b>	<b>(\$382,691)</b>
General Fund (GF)	\$7,295,469	\$6,771,147	(\$524,322)
<i>GF Match</i>	\$3,722,090	\$3,356,569	(\$365,521)
<i>GF Other</i>	\$3,573,379	\$3,414,578	(\$158,801)
Reimbursements	\$4,468,677	\$4,399,361	(\$69,316)
Program Development Fund/Parental Fees	\$434	\$0	(\$434)
Developmental Disabilities Services Account	\$150	\$150	\$0
Mental Health Services Fund	\$740	\$740	\$0
HCBS ARPA	\$384,572	\$468,376	\$83,804
HCBS ARPA Reimbursements	\$250,777	\$375,844	\$125,067
Federal Funds	\$53,991	\$56,501	\$2,510
<b>Fund Sources</b>	<b>\$12,454,810</b>	<b>\$12,072,119</b>	<b>(\$382,691)</b>



Population

There is no change to the current year caseload from the Enacted Budget.

<b>Caseload*</b>	<b>Enacted Budget</b>	<b>FY 2022-23</b>	<b>Difference</b>
Active (Age 3 & Older)	342,570	342,570	0
Early Start (Birth through 35 Months)	57,915	57,915	0
<b>Total Community Caseload</b>	<b>400,485</b>	<b>400,485</b>	<b>0</b>

\*Does not include children who meet provisional eligibility.

Regional Center Operations – Caseload

There is no change to the current year from the Enacted Budget.

<b>Operations – Caseload</b> <i>(Dollars in Thousands)</i>			
	<b>Enacted Budget</b>	<b>FY 2022-23</b>	<b>Difference</b>
Staffing Expenditures	\$902,498	\$902,498	\$0
Federal Compliance	\$49,271	\$49,271	\$0
Projects	\$34,396	\$34,396	\$0
Intermediate Care Facility-Developmentally Disabled			
Quality Assurance Fees	\$1,647	\$1,647	\$0
<b>Total Operations – Caseload</b>	<b>\$987,812</b>	<b>\$987,812</b>	<b>\$0</b>

**Regional Center Operations – Policy**

Current year includes \$420.6 million TF (\$292.5 million GF) for regional center operations, a decrease of \$8.8 million TF (\$28.9 million GF) as compared to the Enacted Budget.

**Updated Policies:**

- Early Start - Part C to B Transitions: Decrease of \$10.0 million GF due to delayed implementation of preschool grants to fiscal year 2024-25.
- Lanterman Act Provisional Eligibility Ages 3 and 4: Increase of \$1.2 million GF due to updated caseload for provisionally eligible consumers.

<b>Operations – Policy</b> <i>(Dollars in Thousands)</i>			
	<b>Enacted Budget</b>	<b>FY 2022-23</b>	<b>Difference</b>
Early Start – Part C to B Transitions	\$13,167	\$3,167	(\$10,000)
Enhanced Service Coordination	\$14,172	\$14,172	\$0
Enrolling Vendors as Medicaid Providers	\$550	\$550	\$0
Language Access and Cultural Competency	\$16,667	\$16,667	\$0
Performance Incentives	\$87,500	\$87,500	\$0
Promoting Workforce Stability	\$185,300	\$185,300	\$0
Reduced Caseload Ratio for Children through Age Five	\$51,084	\$51,084	\$0
Service Access and Equity Grant Program	\$11,000	\$11,000	\$0
Trauma Informed Services for Foster Youth	\$1,600	\$1,600	\$0
Direct Service Professional Workforce Training and Development	\$4,300	\$4,300	\$0
Early Start Eligibility	\$2,530	\$2,530	\$0
Lanterman Act Provisional Eligibility Ages 3 and 4	\$8,145	\$9,325	\$1,180
Resources to Support Individuals Who Are Deaf	\$4,505	\$4,505	\$0
Self-Determination Ongoing Implementation	\$7,800	\$7,800	\$0
Service Provider Rate Reform	\$21,147	\$21,147	\$0
<b>Total Operations – Policy</b>	<b>\$429,467</b>	<b>\$420,647</b>	<b>(\$8,820)</b>

**Purchase of Services (POS) – Caseload**

Updated POS expenditures reflect a net decrease of \$144.0 million TF (\$107.0 million GF) as compared to the Enacted Budget. The decrease in total funds reflects updated expenditures by category. The table below displays adjustments from the Enacted Budget.

<b>Purchase of Services Caseload (Utilization and Growth) (Dollars in Thousands)</b>			
	<b>Enacted Budget</b>	<b>FY 2022-23</b>	<b>Difference</b>
Community Care Facilities	\$2,755,164	\$2,755,164	\$0
Medical Facilities	\$52,329	\$40,381	(\$11,948)
Day Programs	\$1,429,208	\$1,429,208	\$0
Habilitation Services	\$128,886	\$133,401	\$4,515
<i>Work Activity Program</i>	\$15,692	\$15,552	(\$140)
<i>Supported Employment Program – Group</i>	\$70,704	\$74,911	\$4,207
<i>Supported Employment Program – Individual</i>	\$42,490	\$42,938	\$448
Transportation	\$472,885	\$424,100	(48,785)
Support Services	\$2,480,167	\$2,480,167	\$0
In-Home Respite	\$1,304,544	\$1,254,112	(\$50,432)
Out-of-Home Respite	\$64,848	\$47,356	(\$17,492)
Health Care	\$251,274	\$224,552	(\$26,752)
Miscellaneous Services	\$765,888	\$772,794	\$6,906
Intermediate Care Facility-Developmentally Disabled			
Quality Assurance Fees	\$8,893	\$8,879	(\$14)
<b>Total POS – Caseload</b>	<b>\$9,714,086</b>	<b>\$9,570,084</b>	<b>(\$144,002)</b>

**POS – Policy**

There is a net decrease of \$232.3 million TF (\$386.6 million GF) in policy related expenditures as compared to the Enacted Budget. This is primarily driven by the following:

- Shift of \$237.6 million TF from policy to POS budget categories for items displayed under policy in the Enacted Budget, including Forensic Diversion, Half-Day Billing Elimination, Health Facility Rate Increase, SB 3, Minimum Wage Increase, Effective January 1, 2022, and Youth Returning from Out -of -State Foster Care.
- Lanterman Act Provisional Eligibility Ages 3 and 4: Increase of \$14.6 million GF due to updated caseload and expenditure data for provisionally eligible consumers.
- SB 3, Minimum Wage Increase, Effective January 1, 2023: Decrease of \$9.3 million (\$5.3 million GF) based on updated expenditure data.

<b>Purchase of Services – Policy</b> <i>(Dollars in Thousands)</i>			
	<b>Enacted Budget</b>	<b>FY 2022-23</b>	<b>Difference</b>
Bilingual Differentials for Direct Service Professionals	\$7,200	\$7,200	\$0
Financial Management Services for SDP Participants	\$7,200	\$7,200	\$0
Forensic Diversion	\$3,200	\$0	(\$3,200)
Half-Day Billing Elimination	\$2,845	\$0	(\$2,845)
Health Facility Rate Increase	\$1,421	\$0	(\$1,421)
Ongoing Purchase of Service Items	\$46,000	\$46,000	\$0
SB 3, Minimum Wage Increase, Effective January 1, 2022	\$228,357	\$0	(\$228,357)
SB 3, Minimum Wage Increase, Effective January 1, 2023	\$63,497	\$54,188	(\$9,309)
Social Recreation and Camping Services	\$51,868	\$51,868	\$0
START Training	\$17,865	\$17,865	\$0
Suspension of Annual Family Program Fee & Family Costs	\$4,450	\$4,450	\$0
Work Activity Programs: New Service Model	\$8,233	\$8,233	\$0
Youth Returning from Out-of-State Foster Care	\$1,800	\$0	(\$1,800)
Direct Service Professional Workforce Training and Development	\$11,900	\$11,900	\$0
Early Start Eligibility	\$3,962	\$3,962	\$0
Lanterman Act Provisional Eligibility Ages 3 and 4	\$17,415	\$32,035	\$14,620
Resources to Support Individuals Who Are Deaf	\$14,300	\$14,300	\$0
Self-Determination Ongoing Implementation	\$2,500	\$2,500	\$0
Service Provider Rate Reform	\$808,334	\$808,334	\$0
<b>Total POS – Policy</b>	<b>\$1,302,347</b>	<b>\$1,070,035</b>	<b>(\$232,312)</b>

American Rescue Plan Act (ARPA) Funding

There is an increase in the ARPA Funding of \$83.8 million and \$125.1 million in matching reimbursements from the Enacted Budget, inclusive of purchase of services and operations.

<b>American Rescue Plan Act Funding</b> <i>(Dollars in Thousands)</i>			
	<b>Enacted Budget</b>	<b>FY 2022-23</b>	<b>Difference</b>
HCBS ARPA	\$384,572	\$468,376	\$83,804
HCBS ARPA Reimbursements	\$250,777	\$375,844	\$125,067
<b>Total HCBS ARPA</b>	<b>\$635,349</b>	<b>\$844,220</b>	<b>\$208,871</b>

Reimbursements

The updated current year reflects a net decrease of \$69.3 million in reimbursements as compared to the Enacted Budget. Adjustments are reflected in the table below. The main driver is a decrease in anticipated utilization.

<b>Reimbursements</b> <i>(Dollars in Thousands)</i>			
	<b>Enacted Budget</b>	<b>FY 2022-23</b>	<b>Difference</b>
Home and Community-Based Services (HCBS) Waiver	\$3,174,151	\$3,027,712	(\$146,439)
HCBS Waiver Administration	\$21,574	\$21,470	(\$104)
Medicaid Administration	\$19,048	\$20,618	\$1,570
Targeted Case Management	\$325,379	\$337,268	\$11,889
Title XX Block Grant	\$213,421	\$213,421	\$0
(1) Social Services	\$136,264	\$136,264	\$0
(2) Temporary Assistance for Needy Families	\$77,157	\$77,157	\$0
Intermediate Care Facility-Developmentally Disabled State Plan Amendment	\$58,308	\$60,803	\$2,495
Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	\$9,717	\$9,703	(\$14)
1915(i) State Plan Amendment	\$569,552	\$593,559	\$24,007
Early Periodic Screening Diagnosis and Treatment	\$26,951	\$21,720	(\$5,231)
Behavioral Health Treatment Fee-for-Service	\$13,501	\$11,014	(\$2,487)
Self-Determination Program Waiver	\$37,075	\$82,073	\$40,998
<b>Total Reimbursements</b>	<b>\$4,468,677</b>	<b>\$4,399,361</b>	<b>(\$69,316)</b>

Federal Funds

The updated current year reflects a net increase of \$2.5 million in federal funds as compared to the Enacted Budget. The main driver is an increase in Early Start ARPA funding in FY 2022-23.

<b>Federal Funds</b> <i>(Dollars in Thousands)</i>			
	<b>Enacted Budget</b>	<b>FY 2022-23</b>	<b>Difference</b>
Early Start Part C/Other Agency Costs	\$52,851	\$55,358	\$2,507
Foster Grandparent Program	\$1,140	\$1,143	\$3
<b>Total Federal Funds</b>	<b>\$53,991</b>	<b>\$56,501</b>	<b>\$2,510</b>

**FY 2023-24**

**Costs and Fund Sources**

The FY 2023-24 regional center budget includes \$13.7 billion TF (\$8.2 billion GF), a net increase of \$1.6 billion TF (\$1.4 billion GF) compared to the updated current year. This includes a projected \$23.2 million TF decrease in operations costs, and \$1.6 billion TF increase in purchase of services expenditures. An increase of \$1.4 billion GF due to expiration of FFCRA on June 30, 2023, which provides a temporary 6.2 percent increase in reimbursements.

<b>Costs and Fund Sources</b> <i>(Dollars in Thousands)</i>			
	<b>FY 2022-23</b>	<b>FY 2023-24</b>	<b>Difference</b>
Operations	\$1,408,459	\$1,385,303	(\$23,156)
Purchase of Services	\$10,640,119	\$12,248,105	\$1,607,986
Early Start Part C/Other Agency Costs	\$21,538	\$19,095	(\$2,443)
Early Start Family Resource Services	\$2,003	\$2,003	\$0
<b>Total Costs</b>	<b>\$12,072,119</b>	<b>\$13,654,506</b>	<b>\$1,582,387</b>
General Fund (GF)	\$6,771,147	\$8,189,476	\$1,418,329
<i>GF Match</i>	\$3,356,569	\$4,497,778	\$1,141,209
<i>GF Other</i>	\$3,414,578	\$3,691,698	\$277,120
Reimbursements	\$4,399,361	\$4,774,836	\$345,475
Program Development Fund/Parental Fees	\$0	\$434	\$434
Developmental Disabilities Services Account	\$150	\$150	\$0
Mental Health Services Fund	\$740	\$740	\$0
HCBS ARPA	\$468,376	\$475,794	\$7,418
HCBS ARPA Reimbursements	\$375,844	\$189,018	(\$186,826)
Federal Funds	\$56,501	\$54,058	(\$2,443)
<b>Fund Sources</b>	<b>\$12,072,119</b>	<b>\$13,654,506</b>	<b>\$1,582,387</b>

**Population**

The Department is forecasting an increase of 20,442 consumers compared to the updated current year.

<b>Caseload*</b>	<b>FY 2022-23</b>	<b>FY 2023-24</b>	<b>Difference</b>
Active (Age 3 & Older)	342,570	359,280	16,710
Early Start (Birth through 35 Months)	57,915	61,647	3,732
<b>Total Community Caseload</b>	<b>400,485</b>	<b>420,927</b>	<b>20,442</b>

\*Does not include children who meet provisional eligibility.

Regional Center Operations – Caseload

The budget year includes \$1.0 billion TF (\$734.9 million GF) for regional center operations, an increase of \$45.2 million (\$39.0 million GF) as compared to the updated current year budget. The increase is mainly attributed to anticipated caseload growth.

<b>Operations – Caseload</b> <i>(Dollars in Thousands)</i>			
	<b>FY 2022-23</b>	<b>FY 2023-24</b>	<b>Difference</b>
Staffing Expenditures	\$902,498	\$944,637	\$42,139
Federal Compliance	\$49,271	\$49,538	\$267
Projects	\$34,396	\$37,211	\$2,815
Intermediate Care Facility-Developmentally Disabled			
Quality Assurance Fees	\$1,647	\$1,645	(\$2)
<b>Total Operations – Caseload</b>	<b>\$987,812</b>	<b>\$1,033,031</b>	<b>\$45,219</b>

Regional Center Operations – Policy

The budget year includes \$352.3 million TF (\$234.0 million GF) for policies impacting regional center operations, a decrease of \$68.4 million TF (\$58.5 million GF) as compared to the updated current year budget.

Updated Policies:

- Promoting Workforce Stability: Decrease of \$185.3 million GF due to one-time funding for FY 2022-23.
- Reduced Caseload Ratio for Children through Age 5: Increase of \$102.1 million TF (\$68.5 million GF) which reflects the increased caseload projections \$17.0 million (TF) and a revised methodology \$85.1 million (TF).
- Service Access and Equity Grant Program: Decrease of \$11.0 million GF due to one-time grant funding in FY 2022-23.
- Lanterman Act Provisional Eligibility Ages 3 and 4: Increase of \$6.4 million GF to reflect updated caseload and expenditure data for provisionally eligible consumers.
- Early Start Eligibility: Increase of \$5.9 million GF due to the continued phase in of the policy.
- Trauma-Informed Services for Foster Youth: Increase of \$1.6 million TF (\$1.1 million GF) to reflect an additional 15 senior/supervising coordinators.



New Policies:

- UFSM & CERMS: Increase of \$9.8 million GF in funding for FY 2023-24 to assist regional centers with the additional workload support, consulting services to assist with the Project Approval Lifecycle process, Organizational Change Management, Data Management services to evaluate and support data cleanup, and a requirements management tool to ensure traceability for project requirements.
- Disparities Within the Developmental Services System: Increase of \$2.2 million TF (\$1.4 million GF), annually through FY 2025-26, to address the requirements of Chapter 314, Statutes of 2022 (Assembly Bill 1957). The statutory changes require specified public reporting on Individual Program Plans and purchase-of-services for individuals with developmental disabilities.

<b>Operations – Policy</b> <i>(Dollars in Thousands)</i>			
	<b>FY 2022-23</b>	<b>FY 2023-24</b>	<b>Difference</b>
Early Start – Part C to B Transitions	\$3,167	\$3,167	\$0
Enhanced Service Coordination	\$14,172	\$14,172	\$0
Enrolling Vendors as Medicaid Providers	\$550	\$400	(\$150)
Language Access and Cultural Competency	\$16,667	\$16,667	\$0
Performance Incentives	\$87,500	\$87,500	\$0
Promoting Workforce Stability	\$185,300	\$0	(\$185,300)
Reduced Caseload Ratio for Children through Age Five	\$51,084	\$153,212	\$102,128
Service Access and Equity Grant Program	\$11,000	\$0	(\$11,000)
Trauma Informed Services for Foster Youth	\$1,600	\$3,200	\$1,600
Disparities Within the Developmental Services System	\$0	\$2,241	\$2,241
UFSM & CERMS	\$0	\$9,830	\$9,830
Direct Service Professional Workforce Training and Development	\$4,300	\$4,300	\$0
Early Start Eligibility	\$2,530	\$8,432	\$5,902
Lanterman Act Provisional Eligibility Ages 3 and 4	\$9,325	\$15,699	\$6,374
Resources to Support Individuals Who Are Deaf	\$4,505	\$4,505	\$0
Self-Determination Ongoing Implementation	\$7,800	\$7,800	\$0
Service Provider Rate Reform	\$21,147	\$21,147	\$0
<b>Total Operations – Policy</b>	<b>\$420,647</b>	<b>\$352,272</b>	<b>(\$68,375)</b>

**Purchase of Services (POS) Caseload**

The budget year includes \$10.7 billion TF (\$6.7 billion GF) for purchase of services, an increase of \$1.1 billion TF (\$732.7 million GF), or a 11.5 percent increase compared to the updated current year budget. The net increase is primarily due to continued caseload and utilization changes in various budget categories.

<b>Purchase of Services Caseload (Utilization and Growth)</b> <i>(Dollars in Thousands)</i>			
	<b>FY 2022-23</b>	<b>FY 2023-24</b>	<b>Difference</b>
Community Care Facilities	\$2,755,164	\$2,882,632	\$127,468
Medical Facilities	\$40,381	\$52,494	\$12,113
Day Programs	\$1,429,208	\$1,457,383	\$28,175
Habilitation Services	\$133,401	\$139,084	\$5,683
<i>Work Activity Program</i>	\$15,552	\$11,991	(\$3,561)
<i>Supported Employment Program – Group</i>	\$74,911	\$74,031	(\$880)
<i>Supported Employment Program – Individual</i>	\$42,938	\$53,062	\$10,124
Transportation	\$424,100	\$596,572	\$172,472
Support Services	\$2,480,167	\$2,744,836	\$264,669
In-Home Respite	\$1,254,112	\$1,531,812	\$277,700
Out of Home Respite	\$47,356	\$48,438	\$1,082
Health Care	\$224,522	\$269,784	\$45,262
Miscellaneous Services	\$772,794	\$936,120	\$163,326
Intermediate Care Facility-Developmentally Disabled			
Quality Assurance Fees	\$8,879	\$8,879	\$0
<b>Total POS – Caseload</b>	<b>\$9,570,084</b>	<b>10,668,034</b>	<b>\$1,097,950</b>

POS – Policy

The budget year includes \$1.6 billion TF (\$521.3 million GF) for policies impacting regional center purchase of services, an increase of \$510.0 million TF (\$705.2 million GF) compared to the updated current year budget.

## Updated Policies:

- Service Provider Rate Reform: Increase of \$355.9 million TF (\$232 million GF) reflects the change from FY 2022-23 to FY 2023-24 due to a full year cost of the second rate adjustment effective January 1, 2023, and costs for funding to adjust service provider rates for mileage rates.
- SB 3, Minimum Wage Increase, Effective January 1, 2023: Increase of \$68.4 million TF (\$40.6 million GF) represents full-year implementation of the policy and updated expenditures.
- Lanterman Act Provisional Eligibility Ages 3 and 4: Increase of \$22.1 million GF for updated caseload and expenditure data for provisionally eligible consumers.
- Resources to Support Individuals Who Are Deaf: Decrease of \$14.3 million TF (\$8.4 million GF) due to one-time funding for communications assessments in FY 2022-23.
- Early Start Eligibility: Increase of \$9.2 million GF reflects the continued phase in of the policy.
- Work Activity Programs: New Service Model: Decrease of \$8.2 million TF (\$4.9 million GF) due to one-time funding in FY 2022-23.
- Suspension of Annual Family Program Fee and Family Costs: Decrease of \$4.5 million GF due to one-time funding in FY 2022-23.
- Systemic, Therapeutic, Assessment, Resources and Treatment (START) Training: Increase of \$1.8 million TF (\$1.1 million GF) reflects expenditures associated with the START teams' operations.
- Self-Determination Ongoing Implementation: Increase of \$1.4 million TF (\$1.0 million GF) reflects an increase in caseload and utilization.

## New Policies:

- SB 3, Effective January 1, 2024, Consumer Price Index Adjustment: Increase of \$78.2 million TF (\$46.4 million GF) for projected increase in the minimum wage from \$15.50 to \$16.00 for all employers.

<b>Purchase of Services – Policy</b>			
<i>(Dollars in Thousands)</i>			
	<b>FY 2022-23</b>	<b>FY 2023-24</b>	<b>Difference</b>
Bilingual Differentials for Direct Service Professionals	\$7,200	\$7,200	\$0
Financial Management Services for SDP Participants	\$7,200	\$7,200	\$0
Ongoing Purchase of Services Items	\$46,000	\$46,000	\$0
SB 3, Minimum Wage Increase, Effective January 1, 2023	\$54,188	\$122,557	\$68,369
SB 3, Effective January 1, 2024, CPI Adjustment	\$0	\$78,203	\$78,203
Social Recreation and Camping Services	\$51,868	\$51,868	\$0
START Training	\$17,865	\$19,632	\$1,767
Suspension of Annual Family Program Fee and Family Costs	\$4,450	\$0	(\$4,450)
Work Activity Programs: New Service Model	\$8,233	\$0	(\$8,233)
Direct Service Professional Workforce Training and Development	\$11,900	\$11,900	\$0
Early Start Eligibility	\$3,962	\$13,208	\$9,246
Lanterman Act Provisional Eligibility Ages 3 and 4	\$32,035	\$54,112	\$22,077
Resources to Support Individuals Who Are Deaf	\$14,300	\$0	(\$14,300)
Self-Determination Ongoing Implementation	\$2,500	\$3,925	\$1,425
Service Provider Rate Reform	\$808,334	\$1,164,266	\$355,932
<b>Total POS – Policy</b>	<b>\$1,070,035</b>	<b>\$1,580,071</b>	<b>\$510,036</b>

American Rescue Plan Act Funding

The budget year includes \$475.8 million in ARPA funding and \$189.0 million in matching reimbursements, inclusive of purchase of services and operations. This reflects an increase of \$7.4 million and a decrease of \$186.8 million, respectively compared to the updated current year budget. Adjustments are reflected in the table below:

<b>American Rescue Plan Funding</b>			
<i>(Dollars in Thousands)</i>			
	<b>FY 2022-23</b>	<b>FY 2023-24</b>	<b>Difference</b>
HCBS ARPA	\$468,376	\$475,794	\$7,418
<i>Language Access and Cultural Competency</i>	\$9,173	\$7,500	(\$1,673)
<i>Coordinated Family Support Services</i>	(\$827)	\$0	\$827
<i>Social Recreation and Camping Services</i>	\$31,191	\$27,600	(\$3,591)
<i>Service Provider Rate Reform</i>	\$428,839	\$440,694	\$11,855
HCBS ARPA Reimbursements	\$375,844	\$189,018	(\$186,826)
<i>Language Access and Cultural Competency</i>	\$7,494	\$4,966	(\$2,528)
<i>Coordinated Family Support Services</i>	\$827	\$0	(\$827)
<i>Social Recreation and Camping Services</i>	\$20,677	\$15,100	(\$5,577)
<i>Service Provider Rate Reform</i>	\$346,846	\$168,952	(\$177,894)
<b>Total HCBS ARPA</b>	<b>\$844,220</b>	<b>\$664,812</b>	<b>(\$179,408)</b>

**Reimbursements**

The budget year includes \$4.7 billion in reimbursements, an increase of \$345.5 million as compared to the updated current year budget. Adjustments are reflected in the table below and the main driver is due to an increase in caseload and utilization.

<b>Reimbursements</b> <i>(Dollars in Thousands)</i>			
	<b>FY 2022-23</b>	<b>FY 2023-24</b>	<b>Difference</b>
Home and Community-Based Services (HCBS) Waiver	\$3,027,712	\$3,249,018	\$221,306
HCBS Waiver Administration	\$21,470	\$22,065	\$595
Medicaid Administration	\$20,618	\$20,618	\$0
Targeted Case Management	\$337,268	\$350,577	\$13,309
Title XX Block Grant	\$213,421	\$213,421	\$0
(1) Social Services	\$136,264	\$136,264	\$0
(2) Temporary Assistance for Needy Families	\$77,157	\$77,157	\$0
Intermediate Care Facility-Developmentally Disabled State Plan Amendment	\$60,803	\$54,821	(\$5,982)
Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	\$9,703	\$9,701	(\$2)
1915(i) State Plan Amendment	\$593,559	\$633,689	\$40,130
Early Periodic Screening Diagnosis and Treatment	\$21,720	\$20,845	(\$875)
Behavioral Health Treatment Fee-for-Service	\$11,014	\$11,014	\$0
Self-Determination Program Waiver	\$82,073	\$159,067	\$76,994
<b>Total Reimbursements</b>	<b>\$4,399,361</b>	<b>\$4,744,836</b>	<b>\$345,475</b>

**Federal Funds**

The budget year includes \$54.1 million in federal funds, a net decrease of \$2.4 million compared to the updated current year budget. The decrease is due to receipt of one-time federal funds in FY 2022-23 in Early Start ARPA funding.

<b>Federal Funds</b> <i>(Dollars in Thousands)</i>			
	<b>FY 2022-23</b>	<b>FY 2023-24</b>	<b>Difference</b>
Early Start Part C/Other Agency Costs	\$55,358	\$52,915	(\$2,443)
Foster Grandparent Program	\$1,143	\$1,143	\$0
<b>Total Federal Funds</b>	<b>\$56,501</b>	<b>\$54,058</b>	<b>(\$2,443)</b>

**STATE OPERATED FACILITIES**

**FY 2022-23**

The FY 2022-23 updated State Operated Facilities budget includes \$326.7 million TF (\$288.9 million GF), a net increase of \$10.4 million TF (\$7.8 million GF) compared to the Enacted Budget. The increase reflects various control section adjustments.

Facilities Updates:

- Porterville DC: A decrease of \$894,000 GF due to an update to the Lease Revenue Debt Service Bond.
- Retention Stipend: A continuation of the \$500,000 GF, which was reappropriated in the Budget Act of 2022, for purposes of providing a retention stipend for existing employees on staff for the warm shutdown of developmental centers available for encumbrance or expenditure for the duration of warm shutdown.

Policy

There is a net increase of \$11.3 million TF (\$8.7 million GF) in policy expenditures as compared to the Enacted Budget.

Updated Policies:

- Item 9800 increases employee compensation by \$6.8 million TF (\$6.2 million GF).
- Enhanced Federal Funding: A decrease of \$1.6 million GF due to an anticipated extension through June 30, 2023 of the FFCRA, which provides a temporary 6.2 percentage point increase.
- Control Section 3.60 increases employee retirement by \$4.5 million TF (\$4.1 million GF).

<b>Costs and Fund Sources</b>			
<i>(Dollars in Thousands)</i>			
	<b>Enacted Budget</b>	<b>FY 2022-23</b>	<b>Difference</b>
Personal Services	\$259,779	\$271,084	\$11,305
Operating Expenses and Equipment	\$47,333	\$47,333	\$0
Lease Revenue Bond	\$9,158	\$8,264	(\$894)
<b>Total Costs</b>	<b>\$316,270</b>	<b>\$326,681</b>	<b>\$10,411</b>
General Fund (GF)	\$281,080	\$288,910	\$7,830
<i>GF Match</i>	\$25,491	\$24,955	(\$536)
<i>GF Other</i>	\$255,589	\$263,955	\$8,366
Reimbursements	\$35,060	\$37,641	\$2,581
Lottery Fund	\$130	\$130	\$0
<b>Fund Sources</b>	<b>\$316,270</b>	<b>\$326,681</b>	<b>\$10,411</b>

**FY 2023-24**

The FY 2023-24 includes \$340.7 million TF (\$306.6 million GF), a net increase of \$14.1 million TF (\$17.7 million GF) compared to the updated current year.

Facilities Update:

- STAR Homes: An increase of \$15.9 million (\$9.8 million GF) as a result of reclassifying 2.0 homes as ICF and an addition of relief factor.
- Fairview DC: There is no change in fiscal as the warm shutdown activities are anticipated to continue throughout this year.
- CAST: A decrease of \$280,000 (\$173,000 GF) due to changes in the CAST staff assumptions supporting a separation from STAR.
- Porterville DC: A decrease of \$3.7 million GF due to half of one unit closing which is related to the *Stiavetti* lawsuit and updated the Lease Revenue Service Bond.

Updated Policies:

- Interagency Agreement with the Department of General Services: A decrease of \$6.5 million reimbursements due to the end of the interagency agreement maintaining Sonoma Developmental Center.
- Enhanced Federal Funding: A decrease of \$3.1 million in reimbursements due to the anticipated end of the temporary 6.2 percentage point increase authorized by FFCRA.
- Deferred Maintenance: A decrease of \$750,000 GF due to reappropriated funding in FY 2022-23.
- Item 9800: Decreases employee compensation by \$624,000 TF (\$637,000 GF).

New Policies:

- Complex Needs Residential Program: The budget includes \$10.5 million GF for start-up resources to develop a new residential model in the community consisting of three 5-person residential homes for individuals with highly complex needs.

<b>Costs and Fund Sources</b>			
<i>(Dollars in Thousands)</i>			
	<b>FY 2022-23</b>	<b>FY 2023-24</b>	<b>Difference</b>
Personal Services	\$271,084	\$271,030	(\$54)
Operating Expenses and Equipment	\$47,333	\$61,483	\$14,150
Lease Revenue Bond	\$8,264	\$8,281	\$17
<b>Total Costs</b>	<b>\$326,681</b>	<b>\$340,794</b>	<b>\$14,113</b>
General Fund (GF)	\$288,910	\$306,632	\$17,722
<i>GF Match</i>	\$24,955	\$34,032	\$9,077
<i>GF Other</i>	\$263,955	\$272,600	\$8,645
Reimbursements	\$37,641	\$34,032	(\$3,609)
Lottery Fund	\$130	\$130	\$0
<b>Fund Sources</b>	<b>\$326,681</b>	<b>\$340,794</b>	<b>\$14,113</b>

**HEADQUARTERS****FY 2022-23**

FY 2022-23 includes \$156.2 million TF (\$97.0 million GF), a net increase of \$5.0 million TF (\$2.5 million GF) from the Enacted Budget. The change reflects adjustments to the employee retirement from the Control Section 3.60; adjustments to employee compensation in Item 9800; and the following:

- Assembly Bill 179 – IDEA Funding Supplement: One-time funding of \$500,000 in Federal Trust Fund authority.
- Annual Family Program Fee Suspension: One-time reduction of -\$250,000 due to the suspension of the fee program relating to COVID-19.
- Section 11.95 Home and Community-Based Services (HCBS) Allocation – Service Provider Rate Reform Acceleration: One-time funding shift of \$1 million from GF/Reimbursement to ARPA.

**FY 2023-24**

FY 2023-24 includes \$155.7 million TF (\$97.5 million GF), a net decrease of \$571,000 TF (\$538,000 GF increase) compared to the updated current year budget. The changes reflect the expiration of five limited-term positions; adjustments to employee retirement from the Control Section 3.60; adjustments to employee compensation in Item 9800; the SWCAP annual reassessment; and seven Budget Change Proposals.

- Autism Services Branch: Increase of \$1.0 million TF (\$826,000 GF) and 6.0 permanent positions to establish and Autism Services Branch within the Office of Statewide Clinical Services to supporting the growing caseload of consumers with Autism Spectrum Disorder.
- EVV Phase II: Increase of \$740,000 TF (\$335,000 GF) to the Department's portion to ensure continued progress as required by the federal 21<sup>st</sup> Century Cures Act.
- Enhancements to Risk Management Data Collection and Tracking: Increase of \$839,000 TF (\$671,000 GF) and 6.0 permanent positions to enhance data collection, review, oversight, and response to special incident reports, and provide technical assistance and training to regional centers.
- Uniform Fiscal System Modernization (UFSM) and the Consumer Electronic Records Management System (CERMS) Projects Planning: Increase of \$2.9 million TF (\$2.3 million GF) to continue the planning phases of both IT projects as they move through the required California Department of Technology PAL process.



- Information Security Office Support: Increase of \$895,000 TF (\$716,000 GF) and 5.0 permanent positions to support risk and compliance requirements and address network security needs.
- Disparities Within the Developmental Services System (Assembly Bill 1957): Increase of \$450,000 TF (\$360,000 GF) and 3.0 permanent positions) to implement the requirements of Chapter 314, Statutes of 2022 (Assembly Bill 1957) regarding reporting expenditures and authorization of services and activities for individuals with intellectual and developmental disabilities.
- Protective Proceedings: Increase of \$1.5 million TF (\$1.2 million GF) and 6.0 permanent positions to implement Chapter 894, Statutes of 2022 (Assembly Bill 1663) including mitigating any conflicts that may arise regarding conservatorships for individuals served by regional centers.

<b>Costs and Fund Sources</b>			
<i>(Dollars in Thousands)</i>			
	<b>FY 2022-23</b>	<b>FY 2023-24</b>	<b>Difference</b>
Personal Services	\$108,549	\$114,592	\$6,043
Operating Expenses and Equipment	\$47,673	\$41,059	(\$6,614)
<b>Total Costs</b>	<b>\$156,222</b>	<b>\$155,651</b>	<b>(\$571)</b>
General Fund (GF)	\$96,953	\$97,491	\$538
<i>GF Match</i>	\$28,378	\$30,374	\$1,996
<i>GF Other</i>	\$68,575	\$67,117	(\$1,458)
Reimbursements	\$47,942	\$49,979	\$2,037
Program Development Fund	\$175	\$425	\$250
Federal Trust Fund	\$3,391	\$2,863	(\$528)
Mental Health Services Fund	\$511	\$511	\$0
HCBS ARPA (8507)	\$5,800	\$3,750	(\$2,050)
ARPA Reimbursements	\$1,450	\$632	(\$818)
<b>Fund Sources</b>	<b>\$156,222</b>	<b>\$155,651</b>	<b>(\$571)</b>



610 Airpark Rd, Napa, CA 94558  
Phone: (707) 256-1100 • TTY (707) 252-0213

www.nbrc.net

2351 Mendocino Ave, Santa Rosa, CA 95403  
Phone: (707) 569-2000 • TTY (707) 525-1239

**FAIR HEARING & MEDIATION UPDATE**

**JANUARY 1, 2023 – JANUARY 31, 2023**

- |                       |  |
|-----------------------|--|
| Funding<br>(22-7)     | <u>Reason for Appeal:</u> Claimant appeals denial of funding for support services.<br><u>Ruling:</u> Mediation and Fair Hearing scheduled. |
| Eligibility<br>(22-8) | <u>Reason for Appeal:</u> Claimant appeals denial of eligibility.<br><u>Ruling:</u> Fair Hearing scheduled.                                |
| Eligibility<br>(22-9) | <u>Reason for Appeal:</u> Claimant appeals denial of eligibility.<br><u>Ruling:</u> Fair Hearing scheduled.                                |
| Eligibility<br>(23-1) | <u>Reason for Appeal:</u> Claimant appeals denial of eligibility.<br><u>Ruling:</u> Fair Hearing scheduled.                                |