



*Sponsors of  
North Bay Regional Center  
and other programs  
for persons with developmental disabilities  
610 Airpark Road  
Napa, CA 94558  
707-256-1224  
Fax: 707-256-1230*

*Promoting Opportunities  
Supporting Choices*

## **MEETING NOTICE**

The next meeting of the Board of Directors is a Regular Business Board Meeting scheduled as follows:

**DATE:** September 1, 2021

**TIME:** 6:00 pm

**PLACE:** Via Zoom

**Please click the link below to join the webinar:**

<https://us02web.zoom.us/j/88155755357?pwd=dWlrTWVyaUYxSytLUkZvYWsvMVJtUT09>

**Join by Phone:**

Phone Number: 1-669-900-6833

Webinar ID: 897 0968 7840

Passcode: 912329

Se Habla Español

**Agenda Enclosed**

**REMINDER:** Notices are posted at [www.nbrc.net](http://www.nbrc.net). All meetings are made accessible to persons with disabilities and all members of the public are welcome to attend. Please contact Janelle Santana at (707) 256-1224 for further information or to request any disability-related modifications or accommodations.

**North Bay Developmental Disabilities Services, Inc.**

**Board of Directors' Board Meeting**

**September 1, 2021, 6:00 p.m.**

**Join by Zoom Webinar:**

<https://us02web.zoom.us/j/89709687840?pwd=QjllcTc0dzBBNXA2OEplanVjOFItUT09>

**Join by Phone:**

**Phone Number:** 1-669-900-6833 **Webinar ID:** 897 0968 7840 **Passcode:** 912329

- I. CALL TO ORDER – Angel Hixson, President
- II. ROLL CALL AND INTRODUCTIONS – Sara Speck, Secretary (3 min)
- III. CONSIDERATION OF MINUTES – Regular Business Meeting Minutes from July 7, 2021 Regular Business Board Meeting be approved as submitted. (2 min) ACTION
- IV. GENERAL PUBLIC COMMENT – Please use the chat in the Zoom meeting to sign up, or if attending by phone, please text your name to (707) 501-0065 to sign up. (2 minutes per person unless otherwise allowed by Board Chairperson). (10 min)
- V. INTRODUCTION – NEW EXECUTIVE ASSISTANT – Gabriel Rogin, Executive Director and Janelle Santana, Executive Assistant INFO
- VI. FY 2021-2022 PERFORMANCE CONTRACT DRAFT PRESENTATION (30 mins) (Pgs. 4-14) – Courtney Singleton, Director of Community Services INFO
- VII. TREASURER'S REPORT – Rosemarie Pérez, Treasurer
  - A. Treasurer's Report be approved as submitted. (5 min) (Pgs. 15-16) ACTION
- VIII. COMMITTEE REPORTS –
  - A. Nominating Committee – Rita Edmonds-Norris (7 mins)
    - i. Proposed Slated VAC Members
      - a. Mary Eble (Pg. 17) ACTION
      - b. Jeremy Hogan (Pg. 18) ACTION
      - c. Breeanne Burris (Pg. 19) ACTION
    - B. Vendor Advisory Committee Update – Ali Tabatabai, VAC Rep. (5 min) (Pgs. 20-25) INFO
    - C. Public Policy Advisory Committee Update – Ali Tabatabai, VAC Rep. (3 min) INFO
    - D. Cultural and Linguistic Competency Committee Update – Rosemarie Pérez, Treasurer (5 min) INFO
    - E. Client Advisory Committee Update – Sara Speck, Secretary (3 min) INFO
- IX. EXECUTIVE DIRECTOR'S REPORT – Gabriel Rogin, Executive Director (5 min) INFO
- X. GOOD OF THE ORDER – Any other Board business may be brought up at this time.
- XI. GENERAL PUBLIC COMMENT – Please use the chat in the Zoom meeting to sign up, or if attending by phone, please text your name to (707) 501-0065 to sign up. (2 minutes per person unless otherwise allowed by Board Chairperson). (10 min)
- XII. CLOSED SESSION
  - A. Real Estate Negotiations INFO
- XIII. RETURN FROM CLOSED SESSION
  - A. Report on any action taken during the closed session.
- XIV. ADJOURNMENT – Angel Hixson, President

CLOSED SESSION – a) The governing board of a regional center may hold a closed meeting to discuss or consider one or more of the following: (1) real estate negotiations, (2) the appointment, employment, evaluation of performance, or dismissal of a regional center employee, (3) employee salaries and benefits, (4) labor contract negotiations, (5) pending litigation. b) Any matter specifically dealing with a particular regional center client must be conducted in a closed session. -- W&I Code 4663.

**NEXT MEETING ANNOUNCEMENT** – The next Board Meeting will be a regular business Board Meeting on October 6, 2021 at 6:00 pm.

**North Bay Developmental Disabilities Services, Inc.**  
**Board of Directors Regular Business Meeting**  
**July 7, 2021, 6:00 p.m.**  
**Via Zoom**

**NBRC BOARD MEMBERS PRESENT:**

Rosemarie Pérez, Treasurer, Sonoma County  
Derek Hearhtower, Sonoma County  
Alexis Jarreau, Solano County

Ali Tabatabai, VAC Representative, Napa County  
Rita Edmonds-Norris, Solano County  
Jeremy Johnson, Vice President, Solano County  
Andrea Bednarova

**NBRC BOARD MEMBERS ABSENT:**

Hue Truong, Sonoma County  
Lynette Stagner, Napa County

Sara Speck, Secretary, Solano County  
Angel Hixson, President, Solano County  
Jose Ayala, Napa County

**NBRC STAFF PRESENT**

Isabel Calder, Chief Financial Officer  
Beth DeWitt, Director of Client Services  
Deborah Simms, Case Management Supervisor  
Claudia Ritchie, Case Management Supervisor

Gabriel Rogin, Executive Director  
Danielle Bernardo, Executive Assistant  
Deanna Heibel, Associate Director of Client Services  
Courtney Singleton, Director of Community Services  
Ashley McConnell, Federal Rev Supervisor

**GUESTS:** (\*Based on participants names in Zoom meeting)

Triny Lopez, ICS Interpreting Services  
Bobbie Scott, CBEM  
Holly Armijo, CBEM  
Kelly Weber, CBEM  
Karre Williams, CBEM  
Cindy Cahill, Parent, FAU

Paula Finley, Becoming Independent  
Jerry Corradi, UCPNB  
Franklin Phillips, CAC Chairperson, Community  
Maxine Milam, DDS  
Breeanne Burriss, 24 Hour Home Care  
Kathy Hebert, Community

**MINUTES**

**CALL TO ORDER** – *Jeremy Johnson, Vice President*, called the regular business meeting to order at approximately 6:00 pm.

**ROLL CALL AND INTRODUCTIONS** – *Sara Speck, Secretary*, conducted roll call and a quorum was present.

**CONSIDERATION OF MINUTES** – Regular Business Meeting Minutes from the May 5, 2021, meeting be approved as submitted.

**M/S/C (Pérez/Jarreau) Move to approve the minutes as submitted.**

**UNANIMOUS**

**GENERAL PUBLIC COMMENT** – None

**OVERVIEW OF CHILDREN'S SERVICES –**

Claudia Ritchie, and Deborah Simms, Case Management Supervisors, presented an overview of Children's Services. *(See attachment)*

**CONTRACTS OVER \$250,000 –**

Courtney Singleton, Director of Community Services, presented the following contract over \$250,000 for approval. *(See attached spreadsheet)*

**A. CBEM**

Kelly Weber, Program Director; Holly Armijo, Assistant Director; and Bobbie Scott, Regional Director presented data on CBEM services.

**M/S/C (Bednarova/Hearhtower) Move to approve the CBEM contract noted on the attached spreadsheet.**

*The motion passed with Hearhtower, Pérez, Jarreau, Bednarova, Edmonds-Norris,*

*and Johnson voting in favor.*

**APPROVED**  
**Tabatabai ABSTAINED**

## **TREASURER'S REPORT**

**M/S/C (Edmonds-Norris/Hearthtower) Move to approve the Treasurer's Report as submitted.**

**UNANIMOUS**

## **BOARD OPPORTUNITY FUND REQUEST OVER \$750 –**

*Beth DeWitt, Director of Client Services*, noted there was a severe kitchen fire and a family needed to evacuate for one week. The family pursued other generic resources. The mother of the individual served was laid off of her job due to covid. There was no family income coming in and they did not have home owners insurance. The request was for financial assistance for a seven day stay at the hotel.

**M/S/C (Bednarova/Jarreau) Move to approve the Board Opportunity Fund request for \$1006.67**

**UNANIMOUS**

## **COMMITTEE REPORTS –**

### **A. Executive Committee Update –**

*Jeremy Johnson, Vice President*, noted the Executive Committee met on June 21<sup>st</sup> and approved the contracts noted on pages 4-8 of the July 7, 2021 board packet.

### **B. Vendor Advisory Committee Update –**

*Ali Tabatabai, VAC Representative*, noted his predecessor David Mauger, has retired. During David's career he worked on issues of equality and continuously made efforts to make our community better. David operated two homes for decades in the developmentally disabled community. He worked at the regional center prior to opening the homes.

The Vendor Advisory Committee minutes can be found on pages 35-39 of the July 7, 2021 board packet.

### **C. Public Policy Advisory Committee Update –**

*Ali Tabatabai, VAC Representative*, noted that the State Budget provides an historic investment in our system that we have never seen before. The advocacy efforts were not been forgotten and these investments are the success of that.

### **D. Cultural and Linguistic Competency Committee Update –**

*Rosemarie Pérez, Treasurer*, noted the following:

- The CLCC met last month to discuss the Bilingual Resource Coordinator's (BRC.) The BRC's are able to intervene when Service Coordinator's do not have the time to dedicate due to their caseload size.
- The CLCC will be discussing the Consumer Statistics report that is in the board packet monthly. Isabel Calder, Chief Financial Officer will review the contents and source of the information to get a better understanding of the report.

## **EXECUTIVE DIRECTOR'S REPORT –**

*Gabriel Rogin, Executive Director*, noted the following;

- Gabriel expressed his appreciation to David Mauger for his years of service and wished him nothing but the best.
- In-person visits from Service Coordinators to some locations have resumed.
- North Bay Regional Center's office reentry date was scheduled for this week. Management wanted to be 100% sure that everything was ready. Partitions are still being installed on cubicles, and we are expanding the Wi-Fi capacity in both offices. Employees will receive a two weeks' notice when the new reentry date is identified.
- In a recent Service Provider survey, there were high marks of responsiveness and some concerns. Case Management is in the process of typing up a summary of the survey to share with staff.
- The State Budget includes historic investments in our system. Gabriel noted a few examples:
  - o There's a significant investment into our system that will happen incrementally over the next 5 years. They need to set up the infrastructure for how provider rate increases will happen over time.
  - o Additional funding for Regional Center Service Coordination. The increase in funding will be in FY 2022-2023.
  - o Restoring funding for social recreation, camp, and other medical therapies.
  - o The state and DDS acknowledged some remote services should continue.

- Investing in cultural competency. (I.e. More translation, outreach, implicit biased training for staff.)
- An Ombudsman position for Self-Determination to help families with complaints.
- More flexibility around integrated employment
- New model of ARFPSHN's for children
- New category of eligibility, Provisional Eligibility.
- Funding for caseload ratios 1:40 will happen right away. These positions will serve underserved populations in addition to reducing caseloads.
- Eliminating the uniform holiday schedule
- Supplemental rate increases sunset will be going away
- Creating more training and certifications for Direct Service Providers (DSP) including Bilingual differentials for DSP's
- Eliminated the 7% reduction of In-Home Support Services (IHSS)
- Funding for a Participant Choice Specialist to help the regional center implement the Self-Determination Program.
- Funding for regional center operations to implement rate increases.
- Emergency Coordinator position.
- *Gabriel Rogin, Executive Director*, reminded the board that there is no regular business board meeting in August. The Board retreat will be on August 4 2021 from 10:00 am – 2:00 pm.
- *Gabriel Rogin, Executive Director*, noted the agency created an Administrative Office Supervisor Position. Administrative tasks have been getting more and more complex and there was a need for one supervisor to oversee all of that. The position posted and Danielle Bernardo, Executive Assistant, applied and has accepted the position. It is great news for the agency,

**GOOD OF THE ORDER** – None

**GENERAL PUBLIC COMMENT** – None

**ADJOURNMENT** – *Jeremy Johnson, Vice President*, adjourned the regular business board meeting at 8:00 pm.

Performance Contract Plan 2022









Board Approved: TBD

North Bay Regional Center (NBRC) promotes opportunities and supports choices for people with developmental disabilities, or at risk of developmental disabilities, in Solano, Sonoma, and Napa Counties.



This Plan for 2022 reflects targeted activities NBRC will engage in to continue to improve outcomes related to Public Policy Measures and Compliance Requirements as a result of both internal review and external feedback sessions.




NBRC's Performance Goals are achieved when NBRC data exceeds the statewide average (★) or has improved over the prior year (↑)

Public Policy Performance Measures	Statewide Averages	NBRC Outcomes	Planned Activities for 2022
<i>Number and percent of regional center caseload in Developmental Center</i>	0.08% FY 2019-20  0.09% FY 2020-21	12/0.13% FY 2019-20  ↑ 11/0.12% FY 2020-21	<ul style="list-style-type: none"> <li>NBRC will continue to support those that have transitioned into the community from a developmental center</li> <li>NBRC will increase resource development to address individual's support needs</li> </ul>
<i>Number and percent of minors residing with families – own home, foster home, with guardian</i>	99.48% FY 2019-20  99.53% FY 2020-21	3906/99.52% FY 2019-20  ↑★ 3881/99.54% FY 2020-21	<ul style="list-style-type: none"> <li>NBRC continues to monitor family and children's support needs around health, living arrangements, school, and community integration</li> <li>Service Coordinators will continue to assess for behavior, respite, and daycare supports, durable medical equipment, and other services and supports to maintain children in the family home</li> </ul>
<i>Number and percent of adults residing in independent living arrangements, with or without services</i>	10.06% FY 2019-20  9.76% FY 2020-21	608/11.41% FY 2019-20  ↑★ 633/11.74% FY 2020-21	<ul style="list-style-type: none"> <li>NBRC continues advocating for individual to assert their rights to access the living arrangement of their choice</li> </ul>

<p><i>Number and percent of adults residing independently, with Supported Living services</i></p>	<p>5.28% FY 2019-20</p> <p>5.18% FY 2020-21</p>	<p>645/12.10% FY 2019-20</p> <p> 649/12.04% FY 2020-21</p>	<ul style="list-style-type: none"> <li>• NBRC will continue identifying supported living options and advocating for affordable housing options</li> <li>• NBRC to monitor quality outcomes and ensure access to all generic services, e.g., IHSS</li> <li>• Service Coordinators will conduct quarterly monitoring visits to ensure quality services</li> </ul>
<p><i>Number and percent of adults residing in adult Family Home Agency (FHA) homes</i></p>	<p>0.93% FY 2019-20</p> <p>0.89% FY 2020-21</p>	<p>82/1.54% FY 2019-20</p> <p> 83/1.54% FY 2020-21</p>	<ul style="list-style-type: none"> <li>• NBRC will continue to identify FHAs as a living option, working with vendors to ensure quality services and supports meet individuals' needs</li> <li>• Service Coordinators will conduct quarterly monitoring visits, with a minimum of 2 unannounced visits a year</li> </ul>
<p><i>Number and percent of adults residing in family homes – home of parent/guardian</i></p>	<p>64.98% FY 2019 -20</p> <p>66.36% FY 2020-21</p>	<p>2936/55.09% FY 2019-20</p> <p> 3004/55.73% FY 2020-21</p>	<ul style="list-style-type: none"> <li>• NBRC will examine available data to anticipate future resource development needs</li> <li>• Service Coordinators will continue to assess for services needed in the family home.</li> </ul>
<p><i>Number and percent of adults residing in home settings – independent living, supported living, adult FHA, and family homes</i></p>	<p>81.25% FY 2019-20</p> <p>82.20% FY 2020-21</p>	<p>4271/80.15% FY 2019-20</p> <p> 4369/81.06% FY 2020-21</p>	<ul style="list-style-type: none"> <li>• NBRC continues advocating for individual to assert their rights to access the living arrangement of their choice</li> </ul>
<p><i>Number and percent of minors living in facilities service more than 6</i></p>	<p>0.04% FY 2019-20</p> <p>0.03% FY 2020-21</p>	<p>2/0.05% FY 2019 –20</p> <p>  0/0.00% FY 2020-21</p>	<ul style="list-style-type: none"> <li>• NBRC will continue developing alternative living arrangements that meet children's support needs in the least restrictive setting</li> </ul>
<p><i>Number and percent of adults living in facilities serving more than 6</i></p>	<p>2.06% FY 2019-20</p> <p>1.84% FY 2021</p>	<p>50/0.94% FY 2019-20</p> <p>  43/.89 FY 2020-21</p>	<ul style="list-style-type: none"> <li>• NBRC develops homes that serve 4 adults or less while meeting health and behavioral needs and anticipated CMS settings rules</li> <li>• NBRC will continue monitoring these settings and assessing for support needs quarterly</li> </ul>



Public Policy Performance Measures - Employment	Statewide Averages	NBRC Outcomes	Planned Activities for 2022
<i>Number and percent of individuals ages 16-64 with earned income (source: Employment Development Department)</i>	27,526/16% 2018  28,170/16% 2019	1370/27% 2018   1422/27% 2019	<ul style="list-style-type: none"> <li>NBRC will continue working with the Dept. of Rehabilitation and local collaborative groups to promote Employment First with the individual planning team and increase employment opportunities</li> <li>NBRC will increase business outreach and by hosting The Employment Task Force and inviting businesses to attend and present</li> </ul>
<i>Average annual wages for individuals ages 16-64 (source: Employment Development Department)</i>	\$10,371 2018  \$11,327 2019	\$10,354 2018   \$10,855 2019	*see above
<i>Annual earnings of individuals ages 16-64 compared to all people with disabilities in California (source: Cornell University Disability Status Report)</i>	\$47,500 2017  \$47,600 2018	*statewide data collection under development	*see above
<i>Number and percent of adults who entered in competitive integrated employment following participation in a Paid Internship Program (source: Paid Internship Program Survey)</i>	9/13% FY 2018-19  8/9% FY 2019-20	2/7% FY 2018-19  1/3% FY 2019-20	<ul style="list-style-type: none"> <li>NBRC will increase training opportunities on Competitive Integrated Employment(CIE) for clients, vendors, and Service Coordinators in order to increase employment, awareness, and utilization of supports and programs</li> <li>NBRC will collaborate with Dept. of Rehabilitation and local school districts, through the Local Partnership Agreement (LPA) process, to increase opportunities for informed choice and employment</li> <li>NBRC will coordinate the Employment Task Force that brings education and collaboration to vendors, businesses and NBRC's community partners</li> </ul>

<p><i>Average wages and hours worked for adults engaged in competitive integrate employment on behalf of whom incentive payments have been made</i> (source: Competitive Integrated Employment Program Survey)</p>	<p>\$12.76/hr 22hrs/wk FY 2018-19</p> <p>\$13.52 21 hrs/wk FY 2019-20</p>	<p>\$12.76/hr 20 hrs/wk FY 2018-19</p> <p> \$13.28 20 hrs/wk FY 2019-20</p>	<p>*see above</p>
<p><i>Average hourly or salaried wages and hours worked per week for adults who participates in a Paid Internship Program</i> (source: Paid Internship Program Survey)</p>	<p>\$12.45/hr 17hrs/wk FY 2018-19</p> <p>\$13.31 16 hrs/wk FY 2019-20</p>	<p>\$12/hr 15 hrs/wk FY 2018-19</p> <p>  \$14.28 17 hrs/wk FY 2019-20</p>	<p>*see above</p>
<p><i>Total number of \$1000, \$1250, and \$1500 incentive payments made for the fiscal year</i> (source: Competitive Integrated Employment Incentive Program survey)</p>	<p>109 FY 2018-19</p> <p>84 FY 2019-20</p>	<p>127 FY 2018-19</p> <p>75 FY 2019-20</p>	<p>*see above</p>
<p><i>Percentage of adults who reported having competitive integrated employment as a goal in their IPP</i> (source: National Core Indicator Adult Consumer Survey)</p>	<p>27% FY 2014-15</p> <p>29% FY 2017-18</p>	<p>36% FY 2017-18</p>	<ul style="list-style-type: none"> <li>• NBRC will promote Employment First with the individual planning team and continue to provide training to Service Coordinators on advocating for integrated employment opportunities in the community</li> </ul>

Public Policy Performance Measure – Reducing Disparities and Improving Equity in Purchase of Service Expenditures  
*Percent of total annual purchase of service expenditures by individual's ethnicity and age*

*North Bay Regional Center*      **Total Annual Expenditures and Authorized Services  
 by Ethnicity or Race**      *Fiscal Year 2019-2020  
 Page 1 of 1*

**For All Ages**

<b>Ethnicity</b>	<b>Consumer Count</b>	<b>Total Expenditures</b>	<b>Total Authorized Services</b>	<b>Per Capita Expenditures</b>	<b>Per Capita Authorized Services</b>	<b>Utilized</b>
American Indian or Alaska Native	51	\$1,681,643	\$1,927,468	\$32,973	\$37,793	87.2%
Asian	619	\$12,869,533	\$14,245,128	\$20,791	\$23,013	90.3%
Black/African American	921	\$27,888,339	\$32,694,426	\$30,281	\$35,499	85.3%
Hispanic	2,871	\$32,418,598	\$39,887,836	\$11,292	\$13,893	81.3%
Native Hawaiian or Other Pacific Islander	27	\$644,498	\$609,535	\$23,870	\$22,575	105.7%
Other Ethnicity or Race / Multi-Cultural	1,479	\$21,588,271	\$27,139,764	\$14,597	\$18,350	79.5%
White	4,850	\$185,333,926	\$209,674,538	\$38,213	\$43,232	88.4%
<b>Totals:</b>	<b>10,818</b>	<b>\$282,424,808</b>	<b>\$326,178,695</b>	<b>\$26,107</b>	<b>\$30,151</b>	<b>86.6%</b>

**For Birth to age 2 years, inclusive**

American Indian or Alaska Native	10	\$37,638	\$57,573	\$3,764	\$5,757	65.4%
Asian	78	\$348,883	\$587,782	\$4,473	\$7,536	59.4%
Black/African American	91	\$317,348	\$580,298	\$3,487	\$6,377	54.7%
Hispanic	860	\$4,045,021	\$6,500,301	\$4,704	\$7,558	62.2%
Native Hawaiian or Other Pacific Islander	3	\$2,279	\$6,491	\$760	\$2,164	35.1%
Other Ethnicity or Race / Multi-Cultural	190	\$937,186	\$1,669,790	\$4,933	\$8,788	56.1%
White	748	\$4,037,105	\$6,466,109	\$5,397	\$8,645	62.4%
<b>Totals:</b>	<b>1,980</b>	<b>\$9,725,459</b>	<b>\$15,868,345</b>	<b>\$4,912</b>	<b>\$8,014</b>	<b>61.3%</b>

**For age 3 years to 21 years, inclusive**

American Indian or Alaska Native	19	\$146,351	\$187,805	\$7,703	\$9,884	77.9%
Asian	255	\$819,644	\$1,289,954	\$3,214	\$5,059	63.5%
Black/African American	285	\$1,644,364	\$2,698,512	\$5,770	\$9,468	60.9%
Hispanic	1,327	\$5,050,467	\$7,395,032	\$3,806	\$5,573	68.3%
Native Hawaiian or Other Pacific Islander	13	\$5,297	\$6,995	\$407	\$538	75.7%
Other Ethnicity or Race / Multi-Cultural	907	\$5,093,552	\$7,819,373	\$5,616	\$8,621	65.1%
White	1,324	\$11,234,129	\$16,110,828	\$8,485	\$12,168	69.7%
<b>Totals:</b>	<b>4,130</b>	<b>\$23,993,804</b>	<b>\$35,508,499</b>	<b>\$5,810</b>	<b>\$8,598</b>	<b>67.6%</b>

**For age 22 years and older**

American Indian or Alaska Native	22	\$1,497,654	\$1,682,090	\$68,075	\$76,459	89.0%
Asian	286	\$11,701,006	\$12,367,391	\$40,913	\$43,243	94.6%
Black/African American	545	\$25,926,627	\$29,415,616	\$47,572	\$53,974	88.1%
Hispanic	684	\$23,323,110	\$25,992,503	\$34,098	\$38,001	89.7%
Native Hawaiian or Other Pacific Islander	11	\$636,923	\$596,048	\$57,902	\$54,186	106.9%
Other Ethnicity or Race / Multi-Cultural	382	\$15,557,532	\$17,650,601	\$40,727	\$46,206	88.1%
White	2,778	\$170,062,691	\$187,097,601	\$61,218	\$67,350	90.9%
<b>Totals:</b>	<b>4,708</b>	<b>\$248,705,545</b>	<b>\$274,801,852</b>	<b>\$52,826</b>	<b>\$58,369</b>	<b>90.5%</b>

### Consumers with No Purchase of Services by Ethnicity or Race

*For All Ages*

Ethnicity	Total Eligible Consumers	Consumers Receiving Purchased Services	Consumers With No Purchased Services	Percent With No Purchased Services
American Indian or Alaska Native	51	41	10	19.6%
Asian	619	479	140	22.6%
Black/African American	921	751	170	18.5%
Hispanic	2,871	2,378	493	17.2%
Native Hawaiian or Other Pacific Islander	27	21	6	22.2%
Other Ethnicity or Race / Multi-Cultural	1,479	1,103	376	25.4%
White	4,850	4,116	734	15.1%
<b>Totals:</b>	<b>10,818</b>	<b>8,889</b>	<b>1,929</b>	<b>17.8%</b>

*For Birth to age 2 years, inclusive*

American Indian or Alaska Native	10	10	0	0.0%
Asian	78	72	6	7.7%
Black/African American	91	91	0	0.0%
Hispanic	860	834	26	3.0%
Native Hawaiian or Other Pacific Islander	3	3	0	0.0%
Other Ethnicity or Race / Multi-Cultural	190	188	2	1.1%
White	748	735	13	1.7%
<b>Totals:</b>	<b>1,980</b>	<b>1,933</b>	<b>47</b>	<b>2.4%</b>

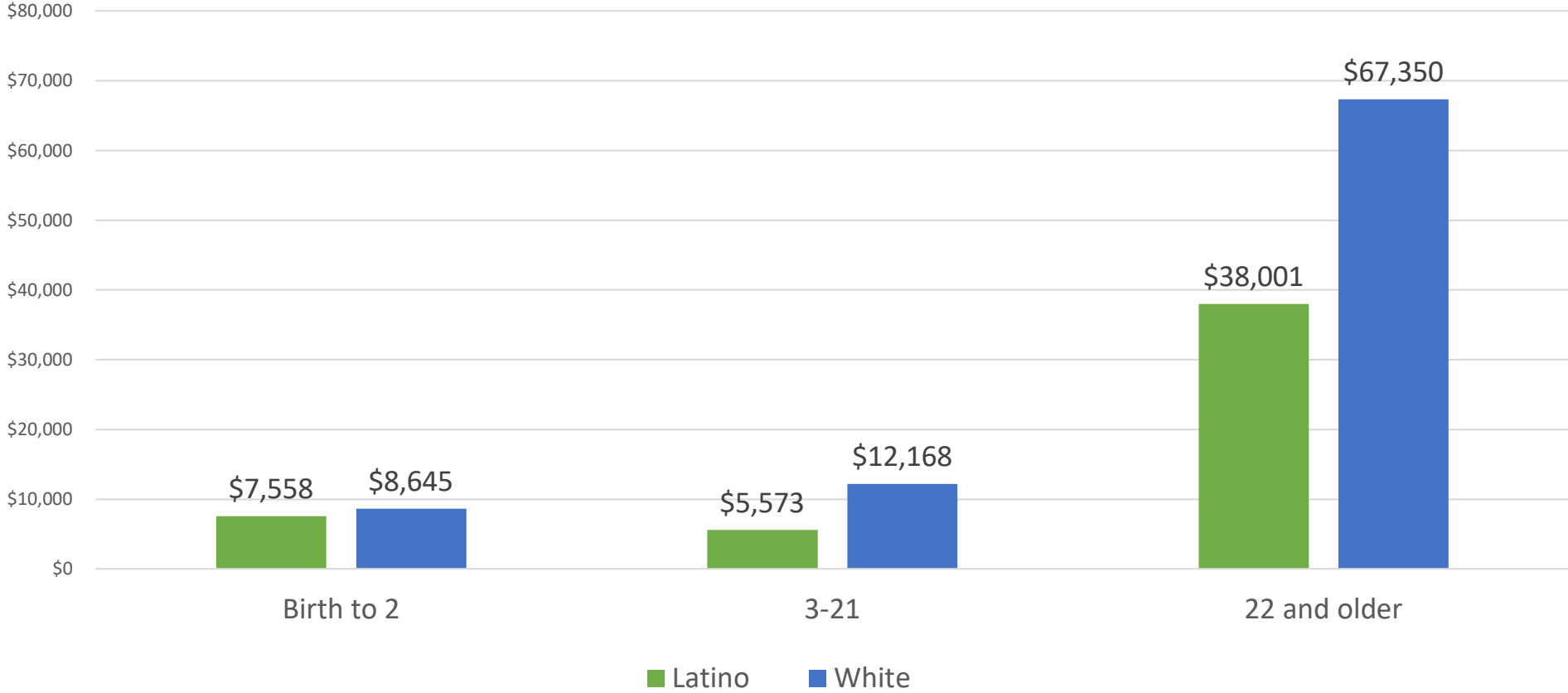
*For age 3 years to 21 years, inclusive*

American Indian or Alaska Native	19	11	8	42.1%
Asian	255	164	91	35.7%
Black/African American	285	177	108	37.9%
Hispanic	1,327	949	378	28.5%
Native Hawaiian or Other Pacific Islander	13	7	6	46.2%
Other Ethnicity or Race / Multi-Cultural	907	606	301	33.2%
White	1,324	875	449	33.9%
<b>Totals:</b>	<b>4,130</b>	<b>2,789</b>	<b>1,341</b>	<b>32.5%</b>

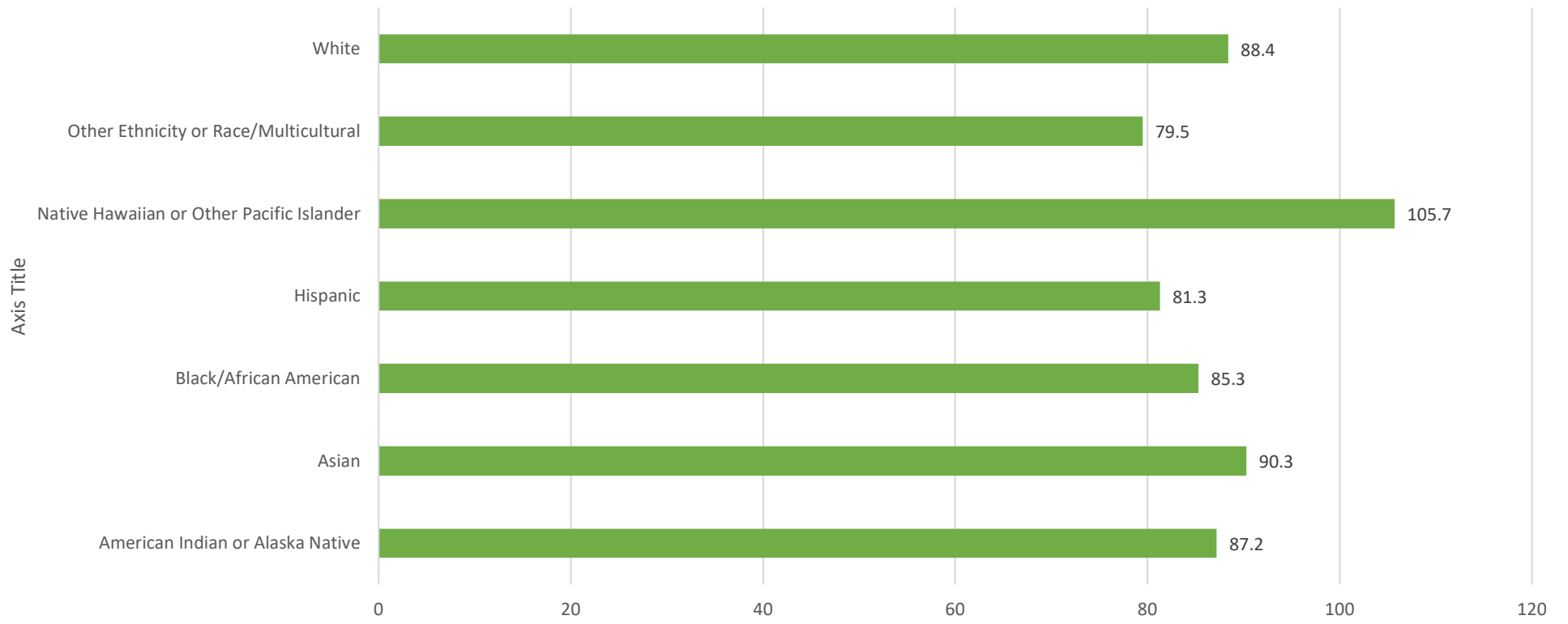
*For age 22 years and older*

American Indian or Alaska Native	22	20	2	9.1%
Asian	286	243	43	15.0%
Black/African American	545	483	62	11.4%
Hispanic	684	595	89	13.0%
Native Hawaiian or Other Pacific Islander	11	11	0	0.0%
Other Ethnicity or Race / Multi-Cultural	382	309	73	19.1%
White	2,778	2,506	272	9.8%
<b>Totals:</b>	<b>4,708</b>	<b>4,167</b>	<b>541</b>	<b>11.5%</b>

Per Capita POS Expenditure by Age and Ethnicity



### Utilization of Services 2019-20

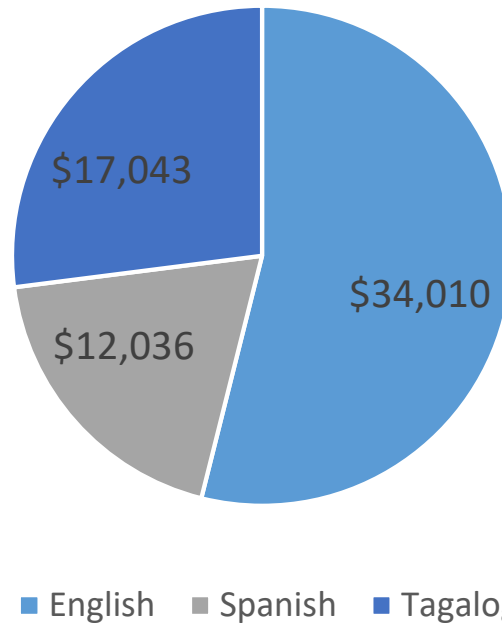


	American Indian or Alaska Native	Asian	Black/African American	Hispanic	Native Hawaiian or Other Pacific Islander	Other Ethnicity or Race/Multicultural	White
Utilized	87.2	90.3	85.3	81.3	105.7	79.5	88.4

Per Capita Expenditure by Language

Language	Count of Clients		Per Capita Purchase of Service	
	2018-19	2019-20	2018-19	2019-20
English	8831	8754	\$24,508	\$34,010
Spanish	1890	1890	\$7,830	\$12,036
Tagalog	58	54	\$20,723	\$17,043

2019-20 Per Capita Expenditure by Language



Compliance Measure – Public Policy and Compliance	2019	2020	Planned Activities for 2021
Unqualified independent audit with no material findings	YES	*Audit in process	NBRC will continue to utilize sound business practices in compliance with audits
Substantial compliance with the Department fiscal audit	YES	*Audit in process	NBRC will continue to fulfill internal auditing requirements
Operates within operations budget	YES	YES	NBRC will continue to monitor and provide monthly reporting
Certified to participate in the Home and Community-Based Waiver	YES	YES	NBRC Internal Quality Monitor conducts monthly audits, as well as ongoing training on all Federal Programs
Compliance with Vendor Audit Requirements per contract	YES	YES	NBRC will continue to perform audits with our vendors on a regular basis
IPP Development per W&I Code requirements	99.33% (2017)	*98.5% (2019)	NBRC will continue to regularly monitor IPP reports
IFSP Development per Title 17 requirements per ESR	87.3%	87.1%	NBRC will continue to submit RFPs for speech, OT, and PT for additional assistance in timely assessments
Compliance Measure	Statewide Averages	NBRC Outcomes	Planned Activities for 2021
CDER/ESR Currency	98.3% FY 2018-19  98.39% FY 2020-21	98.9% FY 2018-19  98.39% FY 2020-21	<ul style="list-style-type: none"> <li>NBRC will continue monitoring monthly reports to ensure CDERS and ESRs are current</li> </ul>
Intake/Assessment and IFSP timelines (ages 0-2)	*statewide data collection under development	95.91% FY 2018-19  ↑ 98.73% FY 2019-20	<ul style="list-style-type: none"> <li>NBRC will continue monitoring IFSP input data internally to ensure IFSP's are completed in a timely manner</li> <li>NBRC will continue to submit RFPs for speech, OT, and PT for additional assistance in timely assessments</li> </ul>







**North Bay Regional Center  
Doug Cleveland  
Board Opportunity Fund  
September 1, 2021 Board Meeting**

The Doug Cleveland Board Opportunity Fund ending balance as of July 31, 2021 was **\$73,563.41**. There were no donations received in the month of July. NBRC issued one award in the amount of \$1,006.67.

Ops Expenditures 12 months 95%	<b>North Bay Regional Center CFO Board Report</b> As of June 30, 2021				POS Expenditures 12 months 97%
100% of the fiscal year has elapsed					
<b>OPERATIONS</b>			<b>Total Ops Allocation: \$ 25,479,605</b>		
Total General Ops Contract: \$		23,704,507		Total CPP Contract: \$ 1,415,844	
General Ops Amount Available: \$		1,089,337		CPP Contract Amount Available: \$ 3,984	
<b>Total YTD</b>					
<b>OPERATIONS EXPENSE (OPS)</b>	<b>YTD Actual</b>	<b>% by category</b>	<b>Forecast*</b>	<b>Actual + Forecast</b>	
Personnel	\$ 15,124,743	62.95%	\$ -	\$ 15,124,743	
Benefits	\$ 4,816,743	20.05%	\$ -	\$ 4,816,743	
Facilities	\$ 1,856,304	7.73%	\$ -	\$ 1,856,304	
Equipment	\$ 335,980	1.40%	\$ -	\$ 335,980	
Communications	\$ 150,526	0.63%	\$ -	\$ 150,526	
Mileage	\$ 8,502	0.04%	\$ -	\$ 8,502	
Legal	\$ 10,212	0.04%	\$ -	\$ 10,212	
General Office	\$ 67,764	0.28%	\$ -	\$ 67,764	
Consultants	\$ 288,827	1.20%	\$ -	\$ 288,827	
Bank Fee and LOC	\$ 20,564	0.09%	\$ -	\$ 20,564	
Other Expenses	\$ 31,780	0.13%	\$ -	\$ 31,780	
Revenue	\$ (96,775)	-0.40%	\$ -	\$ (96,775)	
Community Placement Plan (CPP)	\$ 1,411,860	5.88%	\$ -	\$ 1,411,860	
<b>Total Operations Expenses</b>	<b>24,027,030</b>		<b>\$ -</b>	<b>\$ 24,027,030</b>	
<b>Senior Companion Program - Grant</b>					
	<b>YTD Actual</b>	<b>% by category</b>	<b>Forecast*</b>	<b>Actual + Forecast</b>	
<b>Senior Companion Program - Grant</b>	<b>\$ 227,297</b>	<b>63%</b>	<b>\$ 131,956</b>	<b>\$ 359,254</b>	
<b>PURCHASE OF SERVICES</b>			<b>Total POS Allocation: \$ 326,846,898</b>		
Total POS Contract: \$		324,884,160		Total CPP Contract: \$ 1,962,738	
POS Contract Amount Available: \$		6,292,991		CPP Contract Amount Available: \$ 1,962,738	
<b>Total YTD</b>					
<b>PURCHASE OF SERVICES (POS)</b>	<b>YTD Actual</b>	<b>% YTD Total</b>	<b>Forecast*</b>	<b>Actual + Forecast*</b>	
Community Care Facilities	\$ 106,512,818	33.4%	\$ 395,594	\$ 106,908,412	
Supported Living Services	\$ 78,427,173	24.6%	\$ -	\$ 78,427,173	
Day Programs	\$ 57,057,261	17.9%	\$ 151,528	\$ 57,208,789	
Behavioral Services	\$ 28,606,286	9.0%	\$ -	\$ 28,606,286	
Other	\$ 21,620,075	6.8%	\$ 91,715	\$ 21,711,790	
Transportation	\$ 11,074,894	3.5%	\$ -	\$ 11,074,894	
Respite	\$ 9,800,897	3.1%	\$ 117,211	\$ 9,918,108	
Medical Services	\$ 5,491,765	1.7%	\$ -	\$ 5,491,765	
COVID and Rate Increases	\$ -		\$ -	\$ -	
Community Placement Plan (CPP)	\$ -	0.0%	\$ -	\$ -	
<b>TOTAL POS EXPENSES</b>	<b>\$ 318,591,169</b>	<b>100.0%</b>	<b>\$ 756,048</b>	<b>\$ 319,347,217</b>	
<i>*This budget reflects through the B-3 amendment.</i>					
<b>Total Regional Center Budget:</b>			<b>\$ 352,326,503</b>		



North Bay  
Regional Center

610 Airpark Rd, Napa, CA 94558  
Phone: (707) 256-1100 • TTY (707) 252-0213

[www.nbrc.net](http://www.nbrc.net)

2351 Mendocino Ave, Santa Rosa, CA 95403  
Phone: (707) 569-2000 • TTY (707) 525-1239

**Proposed Slated VAC Member: Mary Eble**

**Service Type: Housing, Living Arrangement**

**County: Napa, Solano, Sonoma**

Mary Eble is the Executive Director of North Bay Housing Coalition for the last 20 years. She is proud of its accomplishments in creating housing for persons with I/DD as a vendor of NBRC. She is also a family member and caregiver for her nephew who is a NBRC client.

**Proposed Slated VAC Member: Jeremy Hogan**

**Service Type: Skill Development, Supportive Living, Day Program, Employment Services**

**County: Sonoma**

Jeremy Hogan is the current Program Director of disABILITY Services at California Human Development (CHD) in Santa Rosa; he has also worked as an SLS Coordinator and Day Program Director in the past. In his current role, he oversees and manages the overall operations and strategic planning of the department, which currently includes Adult Day Services and Employment Services. Jeremy is driven by the idea of collaboration and equity and is currently pursuing his MPA with an emphasis in Non-Profit Leadership at California State University Northridge.

**Proposed Slated VAC Member: Breanne Burris**

**Service Type: Respite, Employer of Record**

**County: Napa, Solano, Sonoma**

My name is Breeanne Burris and I am very excited for this opportunity. I work with 24 Hour Home Care which is an agency that provides respite services. I grew up in Ventura California, a beach town down south and found myself relocating to Sonoma back in 2012 to pursue my BA in Psychology at SSU. Since then, I have been fortunate enough to gain experience in the ID/DD field and love supporting our Northern California accounts. When I am not working, I am most likely out at the lakes, hiking, cooking, reading or volunteering with different organizations! I am passionate about making a difference every day and look forward to continuing that in by contributing more to the NBRC family through the VAC.

## VAC MEETING MINUTES

August 10th, 2021 at 10:00 am - 11:30 am



### A. CALL TO ORDER

- a. Roll Call of Voting Members: Holly Pagel, Kelley Hanson, Stacey Martinez, Ali Tabatabai, James Cox, Andrea Mendoza, Michelle Ramirez, Mike Lisenko, Jamie F Thompson, Michelle Condit (absent), Eric Martin, (Absent) and Michele Rogers (Absent)
- b. Establish Quorum: established



### B. CONSIDERATION OF AGENDA:

- a. Additions or modifications to this agenda by voting members- no additions

### C. APPROVAL OF MINUTES: **Action Item for voting VAC members**

- a. April 13, 2021, Meeting Minutes- approved by Stacey Martinez, Seconded by Andrea Mendoza. All in favor, none opposed



### D. SPECIAL PRESENTATIONS

- a. Kelly Hanson- introduced DSP recognition presenters Louis Chiofalo and Stacey Martinez. Luis C introduced Nick Paine from SDS and he introduced Patricia, a DSP who developed a driver's education class through Zoom, which resulted in multiple clients successfully obtaining their driver's licenses!  
Julie from SDS recognized Clorox employees who continued to work as essential employees despite the challenges. Dominik Lozano and Jason Scott run opposite shifts and supervise employees, implement all new protocols to keep individuals safe and keep production up. Jason and Dominick both thanked the group and recognized their teams as well. Stacey Martinez introduced Jamie Knoll, who has been a rock star employee hired in 2015 with no previous experience working with disabled individuals. Has been extremely valuable working in site based and community-based programs finding meaningful volunteer opportunities, maintenance became their tech and IT guy. Created the monthly schedule and took over tailored day services, cooking classes and helped to develop the curriculum for remote classes.  
Stacey closed out the presentation with a big thank you to the ARC for nominating their DSPs. Ali seconded the thank you and reminded everyone that the VAC meeting is open to everyone and thank you to those working hard every day and taking the time to join the meeting.

### E. GROUP REPORTS

- a. Napa-Solano Vendor Group- talked informally about program and site based reopening, site vaccinations *Kelley H.*
- b. Sonoma Vendor Group- informally discussed vaccine tracking documentation and reopening. *Jamie FT*
- c. Residential/Housing- Reported that there have been some successful housing placements lately in Truckee. Followed up on the email regarding those at risk of losing housing. Introduced catholic charities as a resource. Additional information is below in the chat script. *Mary Eble*
- d. Trade Associations  
 CDSA- just finished the public policy agenda looking at the rate models and investment in the system, participation of outcomes and value-based structure will be working with DDS as system changes will also be tackling professional wages for DSPs. CDSA members are busy developing the annual meeting agenda where membership will discuss implementation plan. CDSA is part of the disability thrive initiative wed Aug 11<sup>th</sup> 3PM. Discussing the changing role of the workforce disabilities. we share these issues and what we see in the future. The webinar is free and the link can be found in the chat script.

We would also like to talk about the collaboration between Asm. Chris Holden and the ARC to recognize DSPS on the 3<sup>rd</sup> at 3PM Asm. Holden is hosting this on zoom and will be on a greater scale than before. Information here:

Michelle Ramirez

CCLN- is pushing towards person centered purchasing. Rate models were discussed with CHSS. It was reported that 90% will be based on quality outcomes. CCLN asked for “quick wins” and what that means and it was suggested that choice and self-direction were appropriate to focus on. HCBS self-reporting showed that 330 providers self-attested that their clients are not free from harm and that is low hanging fruit. Outcomes is an interesting conversation (Consumer dictated outcomes). Every regional center will have a deaf specialist. Bilingual pay is on the table as well as a formal DSP professional training course. CCLN will Focus on what the client wants. 10% of rate will be based on quality outcomes. Values based purchasing model is very much a medical model currently. It was reiterated that We are not curing people but we want to focus on the outcomes and quality of life. Very exciting that there are historic investments in the system. Ali agreed that there is an important distinction between medical model and social service model  
*Jaquie Dillard*

ANCOR- a 3.5trillion senate resolution. Bernie Sanders is in control of this committee. Language attached to resolution is missing. Infrastructure bill is not only about buildings, but human infrastructure. Giving families with children funds to pull people out of poverty and hunger. What can we expect from Medicaid services. An important piece is advocacy.  
 –*Linda Plourde*



ARC/UCP – No update on ARC (Stacey) UCP update (Mike Lisenko)- on August 4<sup>th</sup> UCP held a webinar on vaccinations and mandatory requirements. Attorneys and executive directors discussed this controversial issue and compared this with already short staffing. UCP has decided to make vaccination mandatory and accept the liabilities and ramifications of this decision. Mike reported he is happy to facilitate a discussion on this either now or later. Ali commented that he is also seeing this trend and it is a good time to talk about this at this critical point in history. Louis C. said he agrees that this will harm ratios and this is a hold out due to the staffing shortage. Even though we are desperate we need to keep in mind that our basic role is to protect these fragile people. Most agencies are 30% understaffed and there is a 30% of staff on average not vaccinated. Effective date mentioned by Mike Lisenko needs to be accomplished by October 1 to work from UCP. Michelle Ramirez discussed that many vendors are struggling with this and was wondering if NBRC had any stance on this as the larger entity providing mandates and guidance. If this is not a conversation publicly yet, would this be a possible conversation later? Gabriel reported that he continues to question whether NBRC has the authority to force this decision and to be cautious of the ramifications of a decision either way. Gabriel did not feel a mandate would be a successful move yet, but suggested a survey to see if this would be a welcome decision. Wants to be collaborative before mandating this and support vendors.

Jaquie stated she had a call with DDS and asked this question as well if there needed to be a mandate on vaccinations. Talked about how we are concerned about employer rights but we should be focused on client safety as well. Without firm guidance it would be hard to implement since staff may be able to move to agencies that do not have a mandate. Maria reported that some of her staff are very hesitant to get the vaccine and she does not feel comfortable forcing her staff to get the vaccine. Kelly asked about safety of clients who are being supported who are not vaccinated. Ali talked about testing as a big component. Pointed out that testing resources are available and have helped out a lot with provider costs. Bath Kahiga asked her attorney and it was stated in a letter to the board that we have a responsibility to keep everyone safe both clients and staff. The agency can continue to provide remote services if clients choose not to get vaccinated. It was recommended that providers wait until January 1 to officially mandate since it was likely to be mandated on a greater scale sooner. Ali asked if this information could be shared with the vendor group in writing. Beth will ask.

Ali shifted conversation to staffing shortages and testing. Gabriel asked if there was anything they could be doing to further support the providers. Flexibility around staffing ratios may help. Gabriel is open to providing some language to DDS to suggest a part of the solution. Luis offered a focus group or separate conversation around staffing shortages. How do we provide services without DSPs? Ali reported that we have also been discussing this as voting members.

NBRC Board Report- Ali reported that the board had a retreat and discussed diagnosis trends and neurodiversity, and system adaptations to accommodate this shift. Ali

encouraged everyone to attend the board meetings. VAC vacancies have been sent to the board and Ali announced Mary Eble Jeremy Hogan and Breeanne Burris have been selected to become voting members. This will be verified by the board. This was a challenging decision since there were multiple applications. To determine the voting members we (voting members) looked into VAC participation, committee involvement, and diversity of service type and counties we serve. Michelle R. added that we keep applications on hand who were not currently selected and to keep applications in mind for the future. There may be additional vacancies in the future.

Gabriel reported that it feels like the perfect storm, thank you to Ali for facilitating conversation about vaccinations and pandemic concerns. Gabriel reported the COVID numbers so we have a sense of where we are. 14 new positive cases since July 1<sup>st</sup>. 381 positive cases total, 16 outbreaks at service provider locations, 160 outbreaks in total. Gabriel specified that there are probably more we are unaware of, however many people who are testing positive are having symptoms that are not as severe as the peak. Some have been hospitalized but the level of severity is less. NBRC is still taking health concerns very seriously and we are grateful it is not as bad as December. Deanna will summarize vaccinations further in her report. Deanna said 32% of eligible clients had been collected information. Of the eligible 30% were fully vaccinated, with 5% partially vaccinated. NBRC is still collecting information for 4000 clients and these numbers look artificially low since information is still pending for the majority of clients served. Please continue to send information to Deanna as a provider via list and all information is helpful. Gabriel specified that not many clients are declining, just not fully informed yet. Very high numbers of clients are vaccinated. We will know as we collect more data. Deanna reported some programs are reporting and it is very helpful. We would like to get this number up.

Gabriel reported that they will have a deaf specialist and will focus on resource development to get more services for deaf community. Will add self-determination. Caseloads of 1:40 with no POS or few POS. will implement rate increases in fiscal provisional eligibility which is a new category for young kids who may not be eligible long term. Will age out of the system. Measures will change and we are excited to be part of that conversation.

e. Committees/Subcommittees

Public Policy Advisory Committee- Linda P. we met last month the group was very small due to urgent situations but a lively meeting focusing on upping advocacy. As recommended by assembly member Jim Frazier. Will provide another update after the recess Aug 16<sup>th</sup>. Discussed Fetal alcohol syndrome and how this fits into the definition of eligibility and developmental delay categories. At the next meeting Mary Eble will be presenting on the 2030 road map. Housing California advocates have put this together to increase housing by 2030 and include legislation and advocacy around that. 4<sup>th</sup> Tuesday of every month 24<sup>th</sup> of august. We are all looking forward to this presentation!

DSP Subcommittee- Holly and Kelly are wanting to make sure they acknowledge the hard work DSPs are doing. Submit nominations to [holly.pagel@lynnanddarla.com](mailto:holly.pagel@lynnanddarla.com) or [Kelley@pacesolano.org](mailto:Kelley@pacesolano.org).

Cultural Diversity Subcommittee: Brianne reported the Next meeting is Thursday 19<sup>th</sup> at 9 am will forward the link and send to greater group. The next meeting will be finalizing mission of subgroup looking forward to giving information at the next VAC call.

Early Intervention Subcommittee: Updates in early intervention is usually provided by Michele Rodgers who is absent. That subcommittee is just getting off the ground.

Ali commented that Subcommittees are a great opportunity to focus on your interests and network. Also great to get experience for VAC voting membership.

#### F. NBRC UPDATES:

Fiscal- Isabel

PPP loans still awaiting further directions. SOE is for nonresidential March 12<sup>th</sup>- August 31<sup>st</sup> was absence reimbursement sept 1 Dec 31<sup>st</sup> retainer payments. January 1<sup>st</sup> Retainer payment ASD any billing that may have been incorrect please reach out and they will work with you. Vendor independent audits- you may have received a letter indication that you had an outstanding audit report. If you received a letter and you have not completed your audit yet please get in touch with Isabel or fiscal since there was a corrective action needed through DDS. All regional centers were found noncompliant. In the middle of a pandemic this was of lower concern however Isabel is following up to give an update.

QA: Courtney S. reported they are pulling back on health and safety waivers still in place post pandemic. Isolation rate has slowed down, so overtime will be stopping. DDS is wanting end dates and new rate covers in SLS. Very few continue to utilize these rates. ISO is outbreak where clients are quarantined and cover overtime. There have been 6 new directives- 7/26 stated all congregate living systems and day programs need to test and vaccinate all staff 2 times and keep track of vaccination results staff need to wear surgical masks. The next day public health mirrored the directive. Mask mandates for indoors or on public transport. State public health said visitors for certain settings need to show proof of vaccination or negative COVID test.

Day program directive. Courtney will summarize and send to all vendors. Healthcare workers must be vaccinated.

HIPPA: Jason Lane reported an email went out specifying SIR requirements and reporting covid positive individuals. There is a link to directive in the chat script. May of 2020 but sent out again to clarify after many questions started coming in. reiterated that anyone receiving medical attention around COVID needs to be included. Beyond directive please send a SIR when staff are positive if there is any contact with clients directly. To get ahead of outbreaks and funnel support. Linked PPE delivery through NBRC website. Regarding PPE there will be a drive

through PPE opportunity at the Santa Rosa office. Aug 24<sup>th</sup> Tuesday at 9AM-11:30 at Santa Rosa office. Sanitizer available, n95 masks surgical masks, glasses and goggles, gloves and gowns if needed.

RFP for self determination- contract opportunity can be found here for navigator opportunity.

From Valerie Bane, NBRC to Everyone:

SDP Community Navigator

<https://nbrc.net/wp-content/uploads/CommunityNavigator-RFP-JULY-1-1.pdf>

valerieb@nbrc.net

## G. NEW BUSINESS

Potential focus group on staffing shortages

Vaccination considerations -

Stacey reminded everyone to thank Deanna for free COVID testing. Ali asked and Deanna clarified that we can reach out to Deanna for additional questions around testing. Her email is deannam@nbrc.net

## H. OLD BUSINESS- NA

## I. GENERAL ANNOUNCEMENTS

Training/Events- Flu, Pneumonia + Respiratory Risks 8/11

Clients' Rights - Office of Clients' Rights 8/17

Medical Red Flags 8/19

Med Admin, Centrally Stored and Destruction Records 9/23

Stacey reported the sprout film festival was coming up again and will be a virtual event. Will be mentioned again at the next VAC meeting please email Stacey for additional information.

- a. Community concerns- Ali T. - The federal and California stimulus payments will not be counted as income or a resource for SSI eligibility, according to Social Security's emergency statement.

- b. Reminders- none

## J. AGENDA ITEMS FOR FUTURE MEETINGS

- K. ADJOURNMENT- Ali made motion to adjourn, James motioned and Kelly seconded.  
11:40AM



Minutes Taken by Jamie F Thompson



## Federal Revenue Unit Board Report for Quarter 3, January – February - March 2021

The Federal Revenue Unit consists of the HCBS/Medicaid Waiver program, 1915(i) State Plan Amendment (SPA) program, Nursing Home Reform (NHR), Audit preparation for the HCBS audit & related ongoing compliance monitoring, and Agency trainings re: federally related programs.

- **HCBS Medicaid Waiver and 1915(i) SPA programs:**

**Medicaid Waiver:** Annual targets are no longer established by DDS; instructions are to continue to add clients monthly. Not adding monthly can result in the withholding of federal funds in our Operations budget and less POS (purchase of service) reimbursement. The Self-Determination Program waiver is considered an HCBS waiver and is included in this category.

**1915 (i) State Plan Amendment (SPA):**

The 1915(i) SPA will allow California to receive federal funding for POS services for individuals who may not qualify for Medicaid Waiver, but does not provide funds for operational expenditures.

**Status:** As of January 2021, 4917 clients are enrolled on the Medicaid Waiver, 10 enrolled on the Self-Determination Program waiver and 1263 are enrolled on the 1915(i) SPA. Medicaid Waiver Federal Financial Participation (FFP) recovered by NBRC compliance resulted in \$8,817,509 for the month of May 2021 (most recent data available).

- **Audits:**

**HCBS Audit**

DDS and Department of Health Care Services (DHCS) biannual HCBS Medicaid Waiver audit concluded at the end of May 2021. The next HCBS audit is schedule for May 2023.

**Status:** 2019 HCBS audit reports are available on the Transparency and Accountability page of the NBRC website. NBRC has not received the 2021 formal audit findings, though preliminary conversations with DDS indicated a generally positive result. The auditors reviewed reporting and documentation requirements from a very challenging time period for our community – a time that included the COVID-19 pandemic, local wildfires and evacuations, as well as periods of political and social unrest both locally and nationwide. Given these circumstances, we view these positive results as a notable achievement for our staff.

**Independent/CPA Audit**

The Federal Revenue team is available to provide information requested by the auditors.

**Family Home Agency (FHA)**

The Federal Revenue team has assisted in gathering client charts and information requested by the auditors.

**Enhanced Behavior Support Homes (EBSH)**

The Federal Revenue team is available to provide information requested by the auditors.

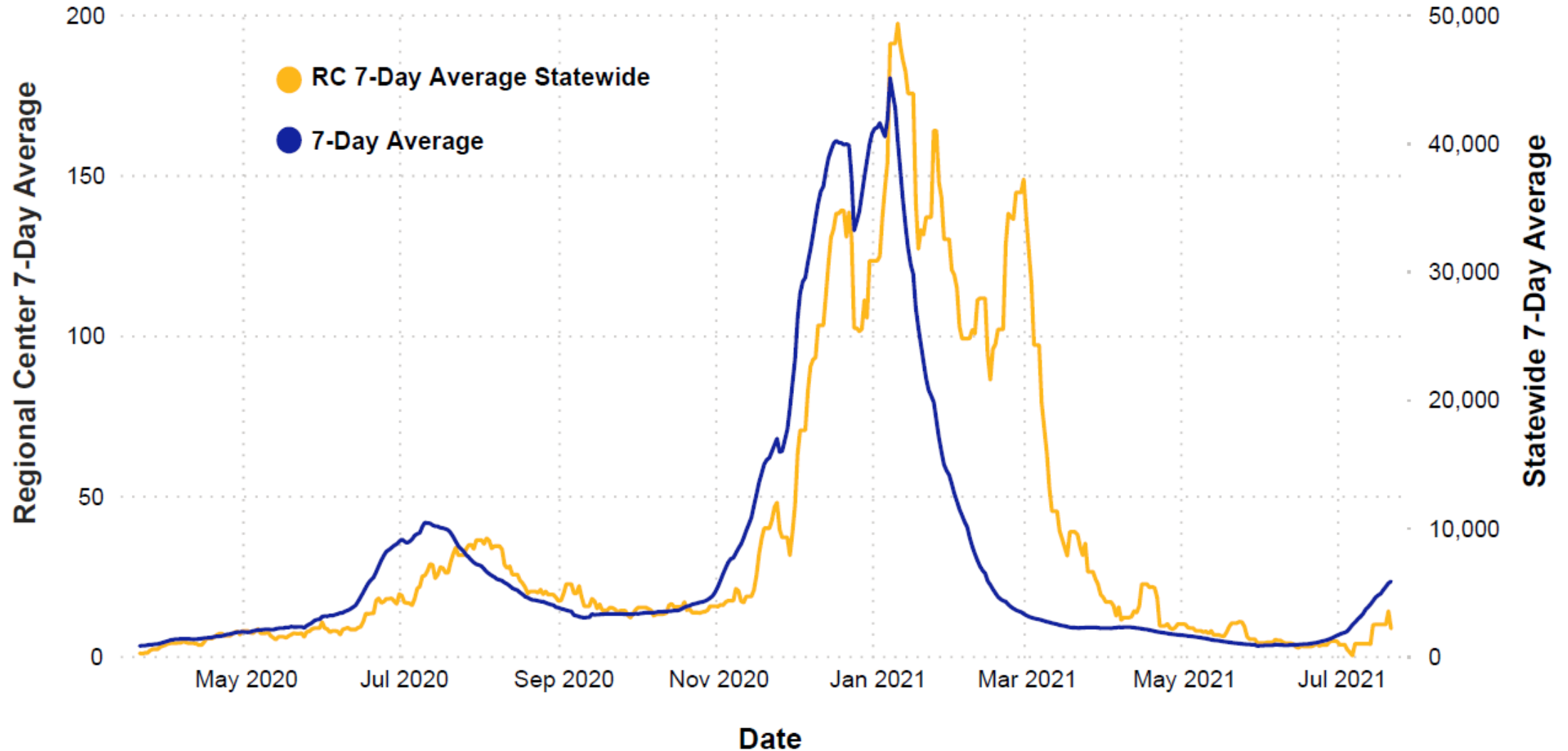
**Payment Error Rate Measurement (PERM)**

No audit requests during this quarter.

- **Training:** Federal Revenue staff provide New Employee and unit trainings for Service Coordinators. The Federal Revenue team provides both overview and in depth trainings in the following areas:
  - Federal Programs
  - Title 19/Targeted Case Management (TCM) notes and documentation requirements
  - Client Development and Evaluation Reports (CDER) requirements and instructions
  
- **Nursing Home Reform:**

DDS continues to capture additional FFP by requesting long-term care facilities to provide Pre Admission Screening and Resident Reviews (PAS/RRs) to be sent to DDS upon discharges, not just upon admission. The additional workload of processing these Level II PASRRs continues to increase and is being absorbed by the Federal Revenue unit.
  
- **NBRC Agency Support:** The Federal Revenue unit consists of a Supervisor, 3 FTE Internal Quality Monitors and 1 FTE Client Services Assistant who continue to provide trainings and support to Service Coordinators and supervisors in order to assist with compliance requirements and Federal regulations. The Federal Revenue team will do so by:
  - Providing support with ongoing Medicaid Waiver enrollment
  - Provide support with Self Determination Program (SDP) Waiver enrollment
  - Providing support with ongoing 1915(i) State Plan Amendment (SPA) enrollment
  - Provide ongoing support to supervisors during all audits
  - Coordinate increased Home and Community Based Alternative (HCBA) Waiver enrollments among the 3-county catchment area

# 7-Day Average of COVID-19 Positive Cases: Statewide & Regional Centers



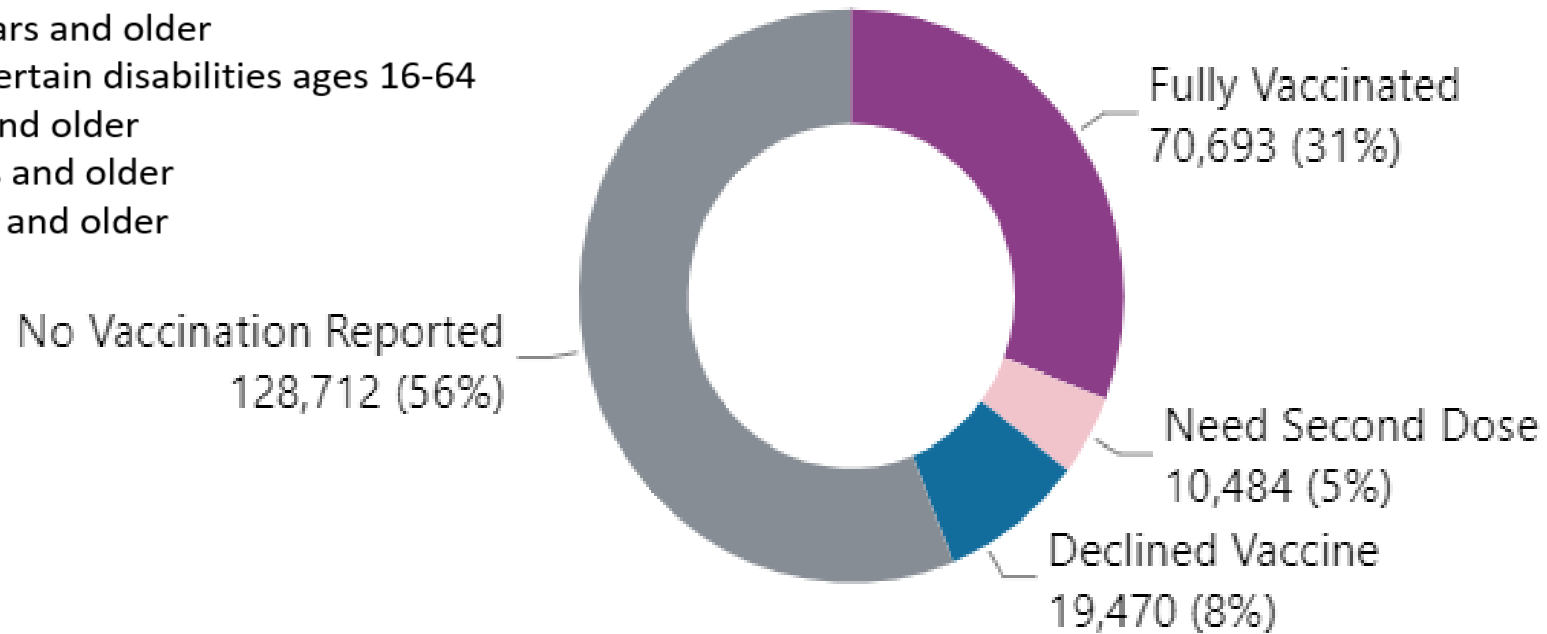
# Vaccination Status

There are 229,456 consumers who are age 12 or older, eligible for vaccination. All data today reflects what is known to regional centers **as of August 2, 2021**; consumer reporting of vaccination status is not required.

## Number and Share of Eligible Consumers

### Key Dates in 2021:

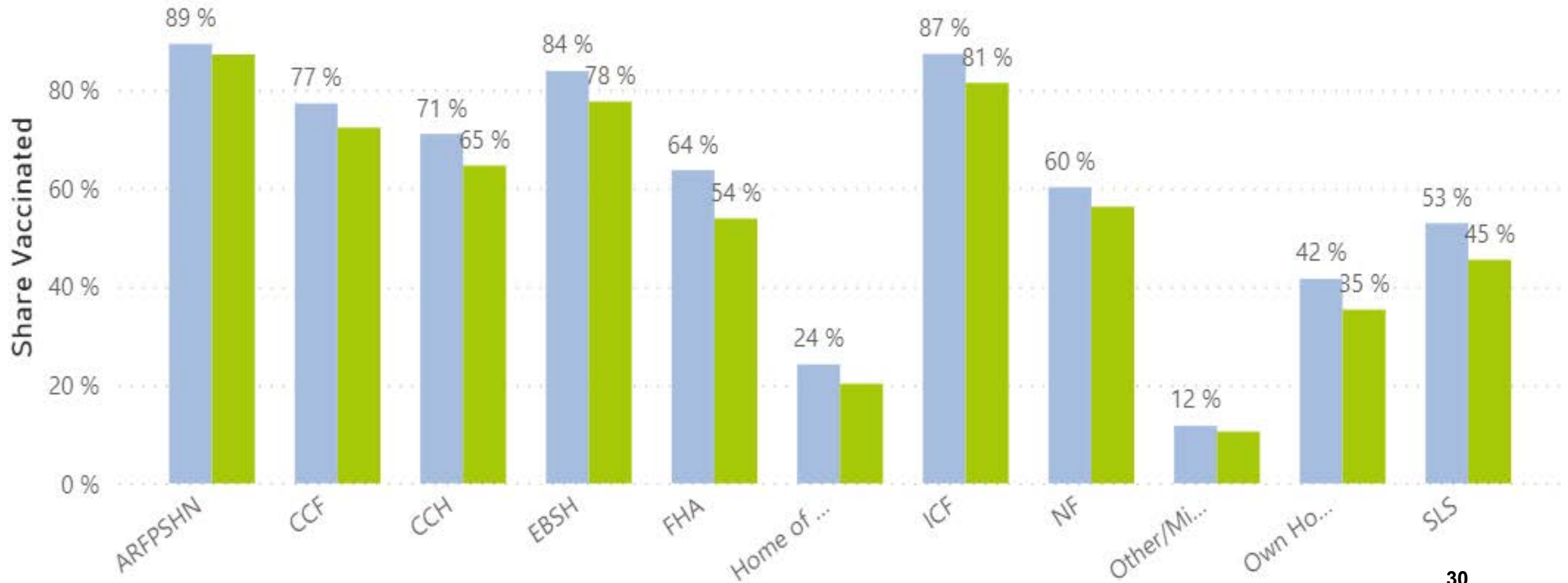
- January 13 – adults 65 years and older
- March 15 – people with certain disabilities ages 16-64
- April 1 – adults 50 years and older
- April 15 – people 16 years and older
- May 12 – people 12 years and older





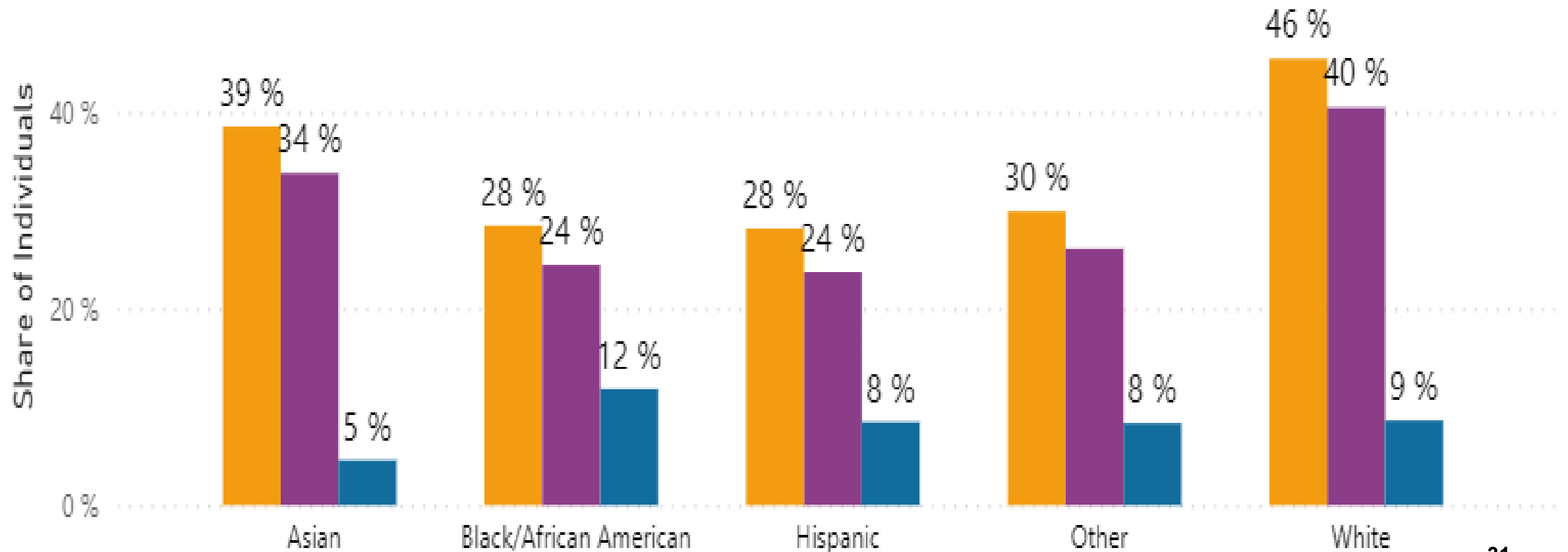
# Consumer Vaccination, by Residence Type

● At Least One Dose ● Fully Vaccinated

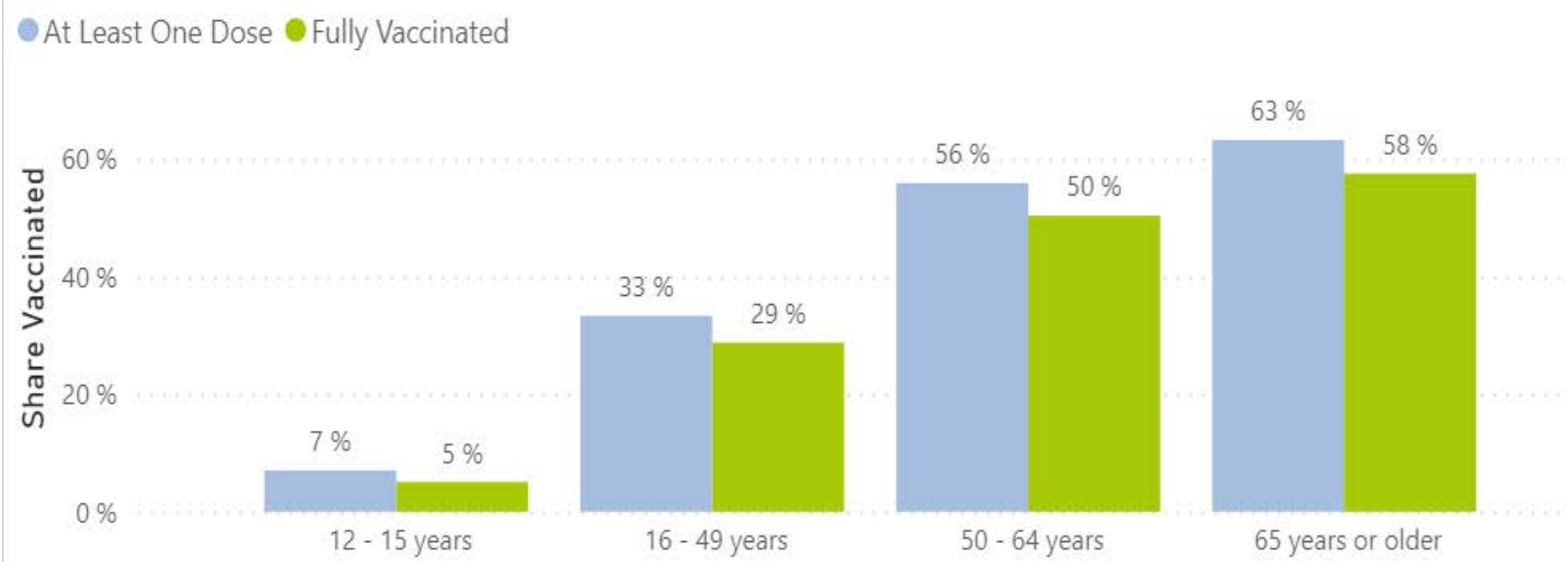


# CONSUMER VACCINATION, BY RACE

● Share Vaccinated with At Least One Dose ● Share Fully Vaccinated ● Share Declined Vaccine



# AT LEAST ONE DOSE, BY AGE



AGE RANGE	NUMBER	% TO TOTAL	GENDER	NUMBER	% TO TOTAL	RESIDENCE TYPE	NUMBER	% TO TOTAL
0 - 2	1,453	14.6 %	MALES	6,401	64.6 %	OWN HOME	7,368	74.4 %
3 - 17	2,990	30.2 %	FEMALES	3,498	35.3 %	ILS	631	6.3 %
18 - 40	3,476	35.1 %			SLS	652	6.5 %	
41 - 60	1,241	12.5 %			DC	11	.1 %	
61 - 80	712	7.1 %			SNF	30	.3 %	
					ICF	190	1.9 %	
80 & OLDER	27	.2 %			CCF	749	7.5 %	
					FOSTER CARE	200	2.0 %	
					OTHER	68	.6 %	
TOTAL:	9,899	100.0 %	TOTAL:	9,899	100.0 %	TOTAL:	9,899	100.0 %

ETHNICITY	NUMBER	% TO TOTAL	DISABILITY	NUMBER	% TO TOTAL CONSUMERS	COUNTY	NUMBER	% TO TOTAL
MIXED	620	6.2 %	AUTISM	3,027	30.5 %	28. NAPA	1,249	12.6 %
ASIAN	246	2.4 %	EPILEPSY	1,044	10.5 %	48. SOLANO	4,202	42.4 %
BLACK	852	8.6 %	CEREBRAL PALSY	903	9.1 %	49. SONOMA	4,319	43.6 %
FILIPINO	362	3.6 %	MENTAL RETARDATION	4,705	47.5 %			.0 %
NATIVE AMERICAN	50	.5 %	OTHER	957	9.6 %			.0 %
POLYNESIAN		.0 %						.0 %
SPANISH/LATIN	2,563	25.8 %	CONSUMERS MAY HAVE MULTIPLE DIAGNOSES					.0 %
WHITE	4,338	43.8 %						.0 %
OTHER	320	3.2 %						.0 %
UNKNOWN	548	5.5 %						.0 %
						OTHER	129	1.3 %
TOTAL:	9,899	100.0 %				TOTAL:	9,899	100.0 %

PRIMARY LANGUAGE	NUMBER	% TO TOTAL	Status	Count	% TO TOTAL
SIGN LANGUAGE	28	.2 %	1	1,175	11.8
ENGLISH	8,146	82.2 %	2	8,212	82.9
SPANISH	1,590	16.0 %	0	501	5.0
OTHER LATIN LANG.	1	.0 %	8	11	.1
CANTONESE CHINESE	6	.0 %	3		
MADARIN CHINESE	3	.0 %			
JAPANESE	2	.0 %			
VIETNAMESE	14	.1 %			
KOREAN	5	.0 %			
LAOTIAN	2	.0 %			
CAMBODIAN	2	.0 %			
OTHER ASIAN LANG.	5	.0 %			
RUSSIAN	2	.0 %			
ALL OTHER LANG.	93	.9 %			
TOTAL	9,899	100.0 %	TOTAL	9,899	100.0

**FAIR HEARING & MEDIATION UPDATE**  
**MAY 1 – AUGUST 31, 2021**

SLS Denial (21-01)	<u>Reason for Appeal:</u> Claimant appeals denial of SLS Services. <u>Ruling:</u> Fair Hearing request withdrawn, service fully funded.
Eligibility (21-02)	<u>Reason for Appeal:</u> Claimant appeals denial of eligibility. <u>Ruling:</u> Fair Hearing request withdrawn.
Eligibility (21-03)	<u>Reason for Appeal:</u> Claimant appeals denial of eligibility. <u>Ruling:</u> Fair Hearing request withdrawn.
Housing Assistance (21-04)	<u>Reason for Appeal:</u> Claimant appeals denial of housing assistance <u>Ruling:</u> Fair Hearing request withdrawn.
Eligibility (21-05)	<u>Reason for Appeal:</u> Claimant appeals denial of eligibility. <u>Ruling:</u> Fair Hearing request withdrawn.
Eligibility (21-06)	<u>Reason for Appeal:</u> Claimant appeals denial of eligibility. <u>Ruling:</u> Fair Hearing request withdrawn.
ABA Funding (21-07)	<u>Reason for Appeal:</u> Claimant appeals denial of ABA services. <u>Ruling:</u> Fair Hearing request pending.
Eligibility (21-08)	<u>Reason for Appeal:</u> Claimant appeals denial of eligibility. <u>Ruling:</u> Fair Hearing request dismissed.
Eligibility (21-09)	<u>Reason for Appeal:</u> Claimant appeals denial of eligibility. <u>Ruling:</u> Fair Hearing request dismissed.
Housing Assistance (21-10)	<u>Reason for Appeal:</u> Claimant appeals denial of housing assistance <u>Ruling:</u> Fair Hearing request pending.